

Surface Bundles over  $S^1$  Which Are  
2-fold Branched Cyclic Coverings of  $S^3$

*Dedicated to the memory of Shouro Kasahara*

By Makoto SAKUMA

Let  $F_g$  be a closed orientable surface of genus  $g$ . Then  $F_g \times S^1$  ( $g \geq 1$ ) is not a 2-fold branched cyclic covering of  $S^3$  (Fox [3], Hirsh-Neumann [5] and Montesinos [8]). Recently, M. Ochiai and M. Takahashi [10] showed that, for any positive integer  $g$ , there is an  $F_g$ -bundle over  $S^1$  ( $F_g$ -bundle, in brief) which is a 2-fold branched cyclic covering of  $S^3$ . In fact, they showed that there is an  $F_g$ -bundle of Heegaard genus 2 for any positive integer  $g$ , and they classified torus bundles of Heegaard genus 2 (cf. [6]).

In this paper, we consider the problem of which surface bundle is a 2-fold branched cyclic covering of  $S^3$ . Let  $\phi$  be an orientation preserving homeomorphism of  $F_g$ . Let  $M_\phi$  be the space obtained from  $F_g \times I$  by identifying  $(x, 0) \in F_g \times 0$  with  $(\phi(x), 1) \in F_g \times 1$ . Then  $M_\phi$  is an orientable  $F_g$ -bundle over  $S^1$ , and every such bundle is so obtained. The first integral homology group  $H_1(M_\phi)$  is isomorphic to  $Z \oplus \text{Coker}(\phi_* - 1)$ , where  $\phi_*$  is the automorphism of  $H_1(F_g)$  induced by  $\phi$ . Since  $H_1(F_g) \cong Z^{2g}$ , there are unique non-negative integers  $n_i$  ( $1 \leq n_i \leq 2g$ ), such that  $\text{Coker}(\phi_* - 1) \cong \bigoplus_{i=1}^{2g} Z_{n_i}$  (where  $Z_0$  denotes  $Z$ ), and  $n_i$  divides  $n_{i+1}$  ( $1 \leq i \leq 2g - 1$ ).

We shall prove the following:

**Theorem 1.** *If  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere, then  $n_g = 1$  or  $2$ . Conversely, let  $n_i$  ( $1 \leq i \leq 2g$ ) be non-negative integers, such that  $n_i$  divides  $n_{i+1}$  ( $1 \leq i \leq 2g - 1$ ), and  $n_g = 1$  or  $2$ . Then there is an  $F_g$ -bundle  $M$ , such that  $H_1(M) \cong \mathbb{Z} \oplus \{\oplus_{i=1}^{2g} \mathbb{Z}_{n_i}\}$  and  $M$  is a 2-fold branched cyclic covering of  $S^3$ .*

For torus bundles, we can list up all such bundles. In fact, we shall prove the following:

**Theorem 2.** *For a torus bundle  $M$ , the following three conditions are equivalent:*

- (1)  $M$  is a 2-fold branched cyclic covering of a homology sphere.
- (2)  $M$  is a 2-fold branched cyclic covering of  $S^3$ .
- (3)  $M$  is homeomorphic to  $M_{\alpha, \beta}$  for some pair of integers  $(\alpha, \beta)$ ,

where  $M_{\alpha, \beta}$  is the torus bundle whose monodromy is presented by the matrix

$$A_{\alpha, \beta} = \begin{bmatrix} -1 & -\alpha \\ \beta & \alpha\beta - 1 \end{bmatrix}.$$

$M_{\alpha, \beta}$  is the 2-fold branched cyclic covering of  $S^3$ , branched along the link  $K(\alpha, \beta)$  as illustrated in Fig. 1.

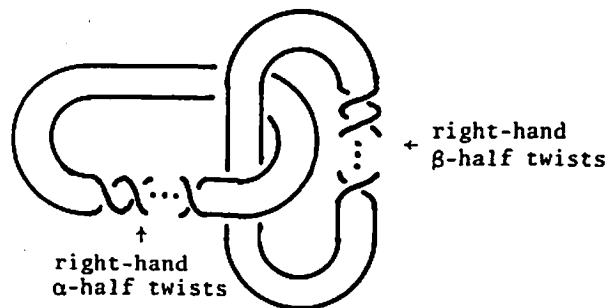


Fig. 1

Remark. (1) The link  $K(\alpha, \beta)$  is equivalent to the link  $K(\alpha', \beta')$ , iff  $(\alpha', \beta')$  is equal to  $\pm(\alpha, \beta)$  or  $\pm(\beta, \alpha)$ . Thus, for any  $K(\alpha, \beta)$ , there is a unique pair of integers  $(\alpha_0, \beta_0)$ , such that (i)  $1 \leq \alpha_0 \leq |\beta_0|$  or  $0 = \alpha_0 \leq \beta_0$  and (ii)  $K(\alpha, \beta)$  is equivalent to  $K(\alpha_0, \beta_0)$ .

(2)  $K(\alpha, \beta)$  is a 3-bridge link, iff  $\alpha$  or  $\beta$  is equal to  $\pm 1$ .

Furthermore, a slight generalization of Tollefson's theorem in [12] on involutions of surface bundles enables us to prove the following:

Theorem 3. *Let  $L$  be a link in  $S^3$ . Then the 2-fold branched cyclic covering of  $S^3$  branched along  $L$  is a torus bundle, iff  $L$  is equivalent to the link  $K(\alpha, \beta)$  for some pair of integers  $(\alpha, \beta)$ .*

Corollary. (Theorem 3 of [10]) *An orientable torus bundle  $M$  has Heegaard genus 2, iff  $M$  is homeomorphic to  $M_{1, \beta}$  for some integer  $\beta$ .*

Remark. (1) The integer  $\beta$  is uniquely determined by  $M$ , and genus 2 Heegaard splitting of  $M_{1, \beta}$  is unique, from Theorem 4 below (cf. [1]).

(2) Since  $\begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} A_{1, \beta} \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} \beta - 2 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $M_{1, \beta}$  is homeomorphic to the torus bundle  $M(\beta - 2, -1)$  defined in [10].

In the last section, we will give a practical method determining whether a given torus bundle is a 2-fold branched cyclic covering of  $S^3$  or not (Theorem 5). To do this, we use a result of [7] or [11], and we will give complete invariants of the homeomorphism types of torus bundles (Lemmas 7 and 8). In particular, we will have the following:

Theorem 4. *Let  $(\alpha_i, \beta_i)$  be a pair of integers such that  $1 \leq \alpha_i \leq |\beta_i|$  or  $0 = \alpha_i \leq \beta_i$ , for each  $i = 1, 2$ . Then  $M_{\alpha_1, \beta_1}$  is homeomorphic to  $M_{\alpha_2, \beta_2}$ , iff*

(1)  $(\alpha_1, \beta_1) = (\alpha_2, \beta_2)$  or (2)  $(\alpha_i, \beta_i) = (1, 6)$  or  $(2, 3)$  for each  $i = 1, 2$ .

In other words, the branch line is unique with the exception of  $M_{1,6} \cong M_{2,3}$ .

Finally, we will give a table of torus bundles  $M$  with  $|\text{Tor}H_1(M)| \leq 20$ .

In particular, we will have the following:

**Theorem 6.** Let  $M$  be a torus bundle, such that  $H_1(M)$  is isomorphic to  $Z \oplus Z_n$  ( $0 \leq n \leq 11$ , or  $n = 14, 16$  or  $19$ ) or  $Z \oplus Z_2 \oplus Z_{2n}$  ( $0 \leq n \leq 4$ ). Then  $M$  is a 2-fold branched cyclic covering of  $S^3$ .

In this paper, we assume that every orientable surface  $F_g$  is endowed with a *longitude-meridian system* ( $l$ - $m$  system, in brief)  $\{l_i, m_i \mid 1 \leq i \leq g\}$ . For a self-homeomorphism  $\phi$  of  $F_g$ , let  $A_\phi$  be the matrix representing the automorphism  $\phi_*$  of  $H_1(F_g)$  induced by  $\phi$ , with respect to the  $l$ - $m$  system. Then  $A_\phi$  is an element of  $Sp(2g, Z) = \{A \mid AJA^t = \pm J\}$ , where  $J = \begin{matrix} g & & \\ & \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} & \\ & & \end{matrix}$ . The correspondence  $\phi \rightarrow A_\phi$  induces an anti-homomorphism from  $H(F_g)$ , the homeotopy group of  $F_g$ , onto  $Sp(2g, Z)$ .  $\phi$  is orientation preserving (resp. reversing), iff  $A_\phi$  is an element of  $Sp^+(2g, Z) = \{A \mid AJA^t = J\}$  (resp.  $Sp^-(2g, Z) = \{A \mid AJA^t = -J\}$ ). If  $g = 1$ , the anti-homomorphism is one to one, and  $Sp(2, Z) = GL(2, Z)$ ,  $Sp^+(2, Z) = SL(2, Z)$ , and  $Sp^-(2, Z) = SL^-(2, Z) = \{A \mid \det A = -1\}$ . For a matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  of  $GL(2, Z)$ , let  $\phi_A$  be the self-homeomorphism of  $S^1 \times S^1$  defined by  $\phi_A(z_1, z_2) = (z_1^a \cdot z_2^c, z_1^b \cdot z_2^d)$ , where  $S^1$  is identified with the unit sphere in the complex plane. If the  $l$ - $m$  system of  $S^1 \times S^1$  is given by  $l = S^1 \times 1$  and  $m = 1 \times S^1$ , then  $A_{\phi_A} = A$ . The torus bundle  $M_{\phi_A}$  is denoted by  $M_A$ .

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## 1. Proof of Theorem 1

Lemma 1. Let  $M$  be a 2-fold branched cyclic covering of a 3-manifold  $N$ , and let  $h$  be the covering transformation. Then the following hold:

(1) If  $N$  is a  $\mathbb{Z}_p$ -homology sphere, for some non-negative integer  $p$ , then  $1+h_* = 0 : H_i(M; \mathbb{Z}_p) \rightarrow H_i(M; \mathbb{Z}_p)$  ( $i = 1, 2$ ).

(2) If  $1+h_* = 0 : H_1(M) \rightarrow H_1(M)$ , then the transfer  $\tau : H_1(N) \rightarrow H_1(M)$  is a zero-map, and  $2H_1(N) = 0$ .

*Proof.* This follows from a standard argument using transfer (see [2]) and the fact that the homomorphism  $H_1(M) \rightarrow H_1(N)$  induced by the covering projection is an onto map.

Lemma 2. Let  $M$  be a 2-fold branched cyclic covering of a  $\mathbb{Z}_p$ -homology 3-sphere. Then, for any two elements  $x$  and  $y$  of  $H_2(M; \mathbb{Z}_p)$ ,  $2 \text{int}(x, y) = 0 \in H_1(M; \mathbb{Z}_p)$ , where  $\text{int}$  denotes the intersection pairing.

*Proof.* Let  $h_*$  be the automorphism of  $H_*(M; \mathbb{Z}_p)$  induced by the covering transformation. Then we have the following, which proves Lemma 2.

$$-\text{int}(x, y) = h_*(\text{int}(x, y)) = \text{int}(h_*(x), h_*(y)) = \text{int}(-x, -y) = \text{int}(x, y).$$

Now we prove the first half of Theorem 1. Assume that  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere and  $n_g \geq 3$ , where  $n_g$  is the integer defined in the introduction. Then there is an odd prime  $p$  dividing  $n_g$ . Let  $\phi_*$  be the automorphism of  $H_1(F_g; \mathbb{Z}_p)$  induced by  $\phi$ . Then  $\dim_{\mathbb{Z}_p} \text{Coker}(\phi_* - 1) \geq g+1$ ; so  $\dim_{\mathbb{Z}_p} \text{Im}(\phi_* - 1) \leq g-1$ , and  $\dim_{\mathbb{Z}_p} \text{Ker}(\phi_* - 1) \geq g+1$ . Let  $\eta$  be the natural map  $H_1(F_g; \mathbb{Z}_p) + \text{Coker}(\phi_* - 1) \subset H_1(M_\phi; \mathbb{Z}_p)$ . Then  $\dim_{\mathbb{Z}_p} \eta(\text{Ker}(\phi_* - 1)) \geq \dim_{\mathbb{Z}_p} \text{Ker}(\phi_* - 1) - \dim_{\mathbb{Z}_p} \text{Im}(\phi_* - 1) \geq (g+1) - (g-1) \geq 2$ . Hence

there is a 1-cycle  $z$  in  $F_g$  such that  $[z] \in \text{Ker}(\phi_* - 1)$  and  $(p-1)\eta([z]) \neq 0 \in H_1(M_\phi; \mathbb{Z}_p)$ , where  $[z]$  is the homology class of  $H_1(F_g; \mathbb{Z}_p)$  represented by  $z$ . Since  $\phi_*([z]) = [z]$ , there is a 2-chain  $c$  such that  $\partial c \equiv \phi_*([z]) - [z] \pmod p$ . Let  $\hat{z}$  be the 2-chain of  $M_\phi$  represented by  $z \times I + c \times 0 \subset F_g \times I / (x, 0) \sim (\phi(x), 1) \cong M_\phi$ . Then  $\hat{z}$  is a mod  $p$  2-cycle. Let  $[\hat{z}]$  be the homology class of  $H_2(M_\phi; \mathbb{Z}_p)$  represented by  $\hat{z}$ , and let  $[F_g]$  be the homology class of  $H_2(M_\phi; \mathbb{Z}_p)$  represented by  $F_g \times (1/2)$ . Then it can be seen that  $\text{int}([\hat{z}], [F_g]) = \eta([z])$ . Since  $(p-1)\eta([z]) \neq 0$ , this contradicts Lemma 2; so  $n_g$  is equal to 1 or 2.

Next we prove the later half of Theorem 1. Let  $V$  be an oriented handle body of genus  $g$ , with a fixed  $l$ - $m$  system  $\{l_i, m_i \mid 1 \leq i, j \leq g\}$ . For a  $g$ -tuple of integers  $(\alpha_1, \dots, \alpha_g)$ , let us consider a surface  $S(\alpha_1, \dots, \alpha_g)$  as shown in Fig. 2, consisting of one disk and  $g$  bands, where  $\alpha_i$  denotes the number of half twists of the  $i$ -th band.

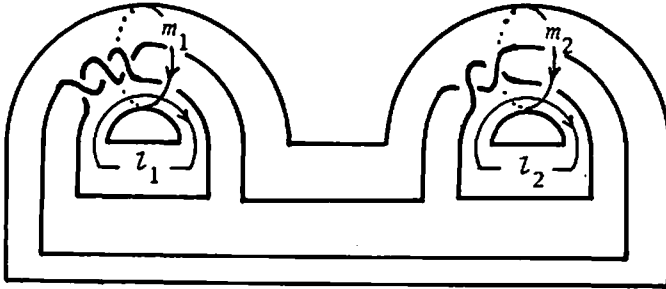


Fig. 2  $S(3, -2)$

Consider two such pairs of manifolds  $(V_1, S_1(\alpha_1, \dots, \alpha_g))$  and  $(V_2, S_2(\beta_1, \dots, \beta_g))$ . Let  $f: \partial V_1 \rightarrow \partial V_2$  be an orientation reversing homeomorphism. Then we obtain an oriented closed 3-manifold  $N = V_1 \cup_f V_2$  and a surface  $S_1 \cup S_2$  embedded in  $N$ . Let  $L$  be the link in  $N$  formed by the boundary of  $S_1 \cup S_2$ .

Consider the homomorphism  $\psi: \pi_1(N-L) \rightarrow Z_2$ , defined by  $\psi(x) = \text{int}([x], [S_1] + [S_2])$  for each element  $x$  of  $\pi_1(N-L)$ , where  $[x]$  denotes the homology class of  $H_1(N-L; Z_2)$  represented by  $x$ , and  $[S_1]$  (resp.  $[S_2]$ ) denotes the homology class of  $H_2(N, L; Z_2)$  represented by  $S_1$  (resp.  $S_2$ ). Then  $\psi$  sends the meridian of each component to the generator of  $Z_2$ . Let  $M$  be the 2-fold branched cyclic covering of  $N$  branched along  $L$  corresponding to  $\text{Ker}(\psi)$ . Then we have the following:

Lemma 3.  $M$  is an  $F_g$ -bundle with monodromy  $\phi$ , such that  $A_\phi = A_f P_2 A_f^{-1} P_1$ , where  $A_f$  is the matrix representing the isomorphism  $f_*: H_1(\partial V_1) \rightarrow H_1(\partial V_2)$ , with respect to the given  $l$ - $m$  systems of  $V_1$  and  $V_2$ ,  $P_1 = \bigoplus_{i=1}^g \begin{pmatrix} 1 & \alpha_i \\ 0 & -1 \end{pmatrix}$  and  $P_2 = \bigoplus_{i=1}^g \begin{pmatrix} 1 & \beta_i \\ 0 & -1 \end{pmatrix}$ .

*Proof.* Let  $\hat{V}_i$  be the manifold obtained by cutting open  $V_i$  along the interior of  $S_i$  for each  $i = 1, 2$ . Then  $\hat{V}_i$  ( $i = 1, 2$ ) is isomorphic to  $F_g \times I$ . Let  $C_i^-$  be the component of  $\partial \hat{V}_i$  which contains  $\partial S_i$ , and let  $C_i^+$  be the component of  $\partial \hat{V}_i$  corresponding to  $\partial V_i$  for each  $i = 1, 2$ . Take two copies  $\hat{V}_i$  and  $\hat{V}'_i$  of  $\hat{V}_i$  for each  $i = 1, 2$ . Then  $M$  is obtained by glueing  $\hat{V}_1, \hat{V}'_1, \hat{V}_2,$  and  $\hat{V}'_2$ , according to the following scheme:

$$\begin{array}{ccccccc} C_1^- & \subset & \hat{V}_1 & \supset & C_1^+ & \xrightarrow{f} & C_2^+ & \subset & \hat{V}_2 & \supset & C_2^- \\ \downarrow \gamma_1 & & & & & & & & & & \downarrow \gamma_2 \\ C_1'^- & \subset & \hat{V}'_1 & \supset & C_1'^+ & \xrightarrow{f} & C_2'^+ & \subset & \hat{V}'_2 & \supset & C_2'^- \end{array},$$

where  $\gamma_1$  (resp.  $\gamma_2$ ) denotes the involution of  $C_1^-$  (resp.  $C_2^-$ ) such that  $\text{Fix}(\gamma_1) = \partial S_1$  (resp.  $\text{Fix}(\gamma_2) = \partial S_2$ ). Hence  $M$  is an  $F_g$ -bundle with monodromy  $\phi = \gamma_1^{-1} \circ f^{-1} \circ \gamma_2 \circ f$ . It can be seen that,  $\gamma_{1*}$  (resp.  $\gamma_{2*}$ ) is represented by the matrix  $\bigoplus_{i=1}^g \begin{pmatrix} 1 & \alpha_i \\ 0 & -1 \end{pmatrix}$  (resp.  $\bigoplus_{i=1}^g \begin{pmatrix} 1 & \beta_i \\ 0 & -1 \end{pmatrix}$ ), with respect to the

$L$ - $m$  system of  $C_1^-$  (resp.  $C_2^-$ ) induced by that of  $V_1$  (resp.  $V_2$ ). This completes the proof of Lemma 3.

In the above lemma, choose  $f$  to be the homeomorphism corresponding to the standard Heegaard splitting of  $S^3$  of genus  $g$ . Then we have a link in  $S^3$ , whose 2-fold branched cyclic covering is an  $F_g$ -bundle  $M_\phi$  such that  $A_\phi = \bigoplus_{i=1}^g \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \beta_i \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \alpha_i \\ 0 & -1 \end{pmatrix} = \bigoplus_{i=1}^g \begin{pmatrix} -1 & -\alpha_i \\ \beta_i & \alpha_i \beta_i - 1 \end{pmatrix}$ .  $H_1(M_\phi)$  is isomorphic to  $Z \oplus \{\bigoplus_{i=1}^g G_i\}$ , where  $G_i$  is the abelian group presented by the matrix  $\begin{pmatrix} -2 & -\alpha_i \\ \beta_i & \alpha_i \beta_i - 2 \end{pmatrix}$ . Hence  $H_1(M_\phi) \cong Z \oplus \{\bigoplus_{i=1}^g \{Z_{g_i} \oplus Z_{(|\alpha_i \beta_i - 4|/g_i)}\}\}$ , where  $g_i = g.c.d.(\alpha_i, \beta_i, 2)$ . From this, we can prove the later half of Theorem 1.

## 2. Proof of Theorem 2

For an  $F_g$ -bundle  $M_\phi$ , let  $\tilde{M}_\phi$  be the infinite cyclic covering of  $M_\phi$ , corresponding to  $\text{Ker}(p_* : \pi_1(M_\phi) \rightarrow \pi_1(S^1))$ , where  $p : M_\phi \rightarrow S^1$  is the bundle projection. Then  $\tilde{M}_\phi$  is homeomorphic to  $F_g \times R^1$ , and the homeomorphism  $\hat{\phi} : F_g \times R^1 \rightarrow F_g \times R^1$  defined by  $\hat{\phi}(x, t) = (\phi(x), t + 1)$  is a generator of the covering transformation group.

Lemma 4. *If  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere, then there is a matrix  $P$  of  $Sp^-(2g, \mathbb{Z})$  which satisfies the following conditions:*

$$(1) \quad P^2 = I \quad \text{and} \quad A_\phi P = P A_\phi^{-1},$$

(2)  $[P] + I = 0 : \text{Coker}(A_\phi - I) \rightarrow \text{Coker}(A_\phi - I)$ , where  $[P]$  denotes the homomorphism induced by  $P$ . (Note that the condition (1) assures the existence of such a homomorphism.)



*Proof.* Assume that  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere, and let  $h$  be the covering transformation. Then, from Lemma 1 (1), there is a lift  $\tilde{h}$  of  $h$ , such that  $\tilde{h} \circ \hat{\phi} = \hat{\phi}^{-1} \circ \tilde{h}$  and  $\tilde{h}_*([F_g]) = -[F_g]$  in  $H_2(\tilde{M}_\phi)$ , where  $[F_g]$  is the homology class represented by  $F_g \times 0 \subset F_g \times R^1 = \tilde{M}_\phi$ . Hence the matrix  $P$  representing  $\tilde{h}_* : H_1(\tilde{M}_\phi) \rightarrow H_1(\tilde{M}_\phi)$  is an element of  $Sp^-(2g, \mathbb{Z})$ , and it satisfies the later half of the condition (1). Since  $Fix(h) \neq \emptyset$ , we can choose  $\tilde{h}$  so that  $Fix(\tilde{h}) \neq \emptyset$ . Then  $\tilde{h}^2 = 1$ , since  $\tilde{h}^2$  is a lift of  $h^2 = 1$ . So, we have  $P^2 = I$ . The condition (2) follows from the fact that  $H_1(M_\phi) \cong \mathbb{Z} \oplus Coker(A_\phi - I)$  and Lemma 1 (1).

To establish Theorem 2, we have only to prove that (1) implies (3). Let  $\phi$  be a self-homeomorphism of a torus  $T^2$ , and assume that the torus bundle  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere. We may assume that  $\phi = \phi_A$ . Let  $P$  be the matrix of  $SL^-(2, \mathbb{Z})$  which satisfies the conditions of Lemma 4, and let  $\gamma = \phi_P$ . By the condition (1), we can define an involution  $h$  of  $M_\phi = T^2 \times R^1 / (x, t) \sim (\phi(x), 1+t)$  by the equation  $h([x, t]) = [\gamma(x), 1-t]$ , where  $[x, t]$  denotes the point of  $M_\phi$  corresponding to the point  $(x, t)$  in  $T^2 \times R^1$ . Then we have:

$$M_\phi/h \cong T^2 \times [0, 1/2] / \{(x, 0) \sim (\phi^{-1} \circ \gamma(x), 0), (x, 1/2) \sim (\gamma(x), 1/2)\} = V_1 \cup V_2,$$

where  $V_1 = T^2 \times [0, 1/4] / (x, 0) \sim (\phi^{-1} \circ \gamma(x), 0)$ , and

$$V_2 = T^2 \times [1/4, 1/2] / (x, 1/2) \sim (\gamma(x), 1/2).$$

Since  $\gamma$  and  $\phi^{-1} \circ \gamma$  are orientation reversing involutions of  $T^2$ ,  $A_\gamma$  and  $A_\phi^{-1} \circ \gamma$  are conjugate to the matrix  $\begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix}$  or  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . From this, it can be seen that  $V_1$  and  $V_2$  are handlebodies of genus 1, and the links  $Fix(\phi^{-1} \circ \gamma) \times 0 \subset V_1$  and  $Fix(\gamma) \times (1/2) \subset V_2$  are equivalent to the links as illustrated in Fig. 2 in §1. Hence  $M_\phi/h$  is a 3-manifold of Heegaard

genus 1, and  $M_\phi$  is a 2-fold branched cyclic covering of  $M_\phi/h$ . It can be seen that  $1+h_* = 0 : H_1(M_\phi) \rightarrow H_1(M_\phi/h)$ , by the condition (2). Hence, from Lemma 1 (2),  $M_\phi/h$  is  $S^3$  or  $RP^3$ . If  $M_\phi/h$  is  $S^3$ , the branch line is equivalent to the link  $K(\alpha, \beta)$  as illustrated in Fig. 1 for some pair of integers  $(\alpha, \beta)$ , and we have the desired result. Thus we have only to prove that  $M_\phi/h$  is not  $RP^3$ . From the fact that  $1+h_* = 0$  and Lemma 1 (2) this is proved by the following Lemma.

**Lemma 5.** Consider two links in solid tori  $(V_1, \partial S_1(\alpha))$  and  $(V_2, \partial S_2(\beta))$  defined in §1, and let  $f : \partial V_1 \rightarrow \partial V_2$  be the homeomorphism which is represented by the matrix  $\begin{pmatrix} 1 & 0 \\ 2 & -1 \end{pmatrix}$  with respect to the given  $l$ - $m$  systems of  $V_1$  and  $V_2$ . Let  $M$  be the 2-fold branched cyclic covering of  $V_1 \cup_f V_2 \cong RP^3$  branched along the link  $\partial S_1(\alpha) \cup \partial S_2(\beta)$  corresponding to  $\text{Ker}(\psi)$ , where  $\psi$  is the homomorphism defined in §1. Then the transfer  $\tau : H_1(RP^3) \rightarrow H_1(M)$  is not a zero-map.

*Proof.* From Lemma 3,  $M$  is a torus bundle whose monodromy is presented by the matrix  $\begin{pmatrix} 1 & 0 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1+2\beta & \alpha+\beta+2\alpha\beta \\ 4(1+\beta) & 1+4\alpha+2\beta+4\alpha\beta \end{pmatrix}$  with respect to the  $l$ - $m$  system  $\{l, m\}$  induced by that of  $V_1$ . Hence  $H_1(M)$  is isomorphic to  $Z \oplus \langle l, m \mid 2\beta l + (\alpha+\beta+2\alpha\beta)m, 4(1+\beta)l + 2(2\alpha+\beta+2\alpha\beta)m \rangle$ . It is easy to see that  $\text{Im}(\tau)$  is generated by  $2l + \alpha m$ . Assume that  $\text{Im}(\tau) = 0$ . Then there are integers  $x$  and  $y$  satisfying the following equations:

$$(1) \quad 2\beta x + 4(1+\beta)y = 2 \quad (2) \quad (\alpha+\beta+2\alpha\beta)x + 2(2\alpha+\beta+2\alpha\beta)y = \alpha.$$

By (1), we have  $\beta \equiv x \equiv 1 \pmod{2}$ . By (2), we have  $(\alpha+\beta)x \equiv \alpha \pmod{2}$ .

So  $\alpha+1 \equiv \alpha \pmod{2}$ ; contradiction. Hence  $\text{Im}(\tau) \neq 0$ .

### 3. Proof of Theorem 3

J.L. Tollefson [12] proved the following:

**Theorem.** (Theorem 2 of [12]) *Let  $h$  be a P.L. involution on  $M_\phi = F_g \times R^1 / \hat{\phi}$ , where  $H_1(M_\phi; \mathbb{Q}) \cong \mathbb{Q}$ . Then  $h$  is equivalent to an involution  $h'$  defined on  $M_\zeta = F_g \times R^1 / \hat{\zeta}$  by  $h'([x, t]) = [\gamma(x), \lambda(t)]$ , where  $\gamma$  is some involution on  $F_g$ , the map  $\zeta$  is isotopic to  $\phi$ , and  $\lambda(t) = t, 1-t$ , or  $t + (1/2)$ .*

The condition  $H_1(M_\phi; \mathbb{Q}) \cong \mathbb{Q}$  is used only in p.229 of [12], to prove that  $h(F_g)$  is isotopic to  $F_g$ , where  $F_g$  is a fiber. This condition can be replaced with the condition that  $h_*([F_g]) = \pm[F_g]$  in  $H_2(M_\phi)$ . In fact, it assures the existence of the lift of  $h$  to the infinite cyclic covering  $\tilde{M}_\phi$  of  $M_\phi$ , by which we can prove that  $h(F_g)$  is isotopic to  $F_g$  from a similar argument to that of [12] in p.229.

From the above argument and Lemma 1 (1), we have the following:

**Lemma 6.** *Assume that  $M_\phi$  is a 2-fold branched cyclic covering of a homology sphere. Then the covering transformation  $h$  is equivalent to an involution  $h'$  defined on  $F_g \times R^1 / \hat{\zeta}$  by  $h'([x, t]) = [\gamma(x), 1-t]$ , where  $\gamma$  is some orientation reversing involution on  $F_g$  and the map  $\zeta$  is isotopic to  $\phi$ .*

Using Lemma 6, we can prove Theorem 3 by a similar argument to the proof of Theorem 2.

### 4. Invariants of torus bundles

**Lemma 7.** (1) *Let  $A$  be a matrix of  $SL(2, \mathbb{Z})$  such that  $H_1(M_A)$  is isomorphic to  $\mathbb{Z} \oplus \mathbb{Z}_n$  for some non-negative integer  $n$ . Then  $A$  is*

conjugate\* to the matrix  $\begin{pmatrix} 1 & 0 \\ n & 1 \end{pmatrix}$ .

(2) Let  $A$  and  $A'$  be matrices of  $SL(2, \mathbb{Z})$ . Then  $M_A$  is homeomorphic to  $M_{A'}$ , iff  $A'$  is conjugate to  $A$  or  $A^{-1}$ .

*Proof.* (1) follows from the fact that  $\text{Ker}(A-I)$  is non-trivial iff the first Betti number of  $M_A$  is greater than 1. (2) follows from (1) and the fact that two surface bundles, whose first Betti numbers are equal to 1, are homeomorphic, iff they are equivalent as fiber bundles (see [9]).

Thus the homeomorphism problem of torus bundles is reduced to the conjugacy problem for  $2 \times 2$ -matrices over  $\mathbb{Z}$ , which is solved as follows:

**Lemma 8.** Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be a matrix of  $SL(2, \mathbb{Z})$ . Then the following hold:

(1) The characteristic polynomial  $f_A(x)$  of  $A$  is equal to  $x^2 - \text{Tr}(A)x + 1$ , and the discriminant  $D_A$  of  $f_A(x)$  is equal to  $(\text{Tr}(A))^2 - 4$ .

(2) If  $\text{Tr}(A) = 2\varepsilon$  for some  $\varepsilon = \pm 1$ , then there is a unique non-negative integer  $n$  such that  $A$  is conjugate to the matrix  $\begin{pmatrix} \varepsilon & 0 \\ n & \varepsilon \end{pmatrix}$ .

(3) If  $\text{Tr}(A) = -1$  (resp.  $0, 1$ ),  $A$  is conjugate to the matrix  $A_{1,1}$  (resp.  $A_{1,2}, A_{1,3}$ ).

(4) If  $|\text{Tr}(A)| \geq 3$ ,  $f_A(x)$  is irreducible over  $\mathbb{Z}$ , and  $D_A$  is positive and non-square. Let  $\theta(A) = \{(d-a) + \sqrt{D_A}\} / 2b$ . Then the conjugate class of  $A$  is completely determined by  $\text{Tr}(A)$  and the equivalence class of the quadratic irrationality  $\theta(A)$ . Hence two matrices  $A$  and  $A'$  of  $SL(2, \mathbb{Z})$ , such that  $\text{Tr}(A) = \text{Tr}(A') \neq 0, \pm 1, \pm 2$ , are conjugate, iff the purely cyclic parts of the infinite continued fractions representing  $\theta(A)$  and  $\theta(A')$  are equal up to cyclic permutations.

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\* This means "conjugate in  $GL(2, \mathbb{Z})$ ".

*Proof.* (2). If  $\text{Tr}(A) = 2\varepsilon$  for some  $\varepsilon = \pm 1$ , then  $f_A(x) = (x - \varepsilon)^2$  and  $A$  has a real eigen value; so  $A$  is conjugate to the matrix  $\begin{pmatrix} \varepsilon & 0 \\ n & \varepsilon \end{pmatrix}$  for some integer  $n$ . Since  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \varepsilon & 0 \\ n & \varepsilon \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} \varepsilon & 0 \\ -n & \varepsilon \end{pmatrix}$ , we may assume that  $n$  is non-negative. The first elementary ideal of the matrix  $xI - \begin{pmatrix} \varepsilon & 0 \\ n & \varepsilon \end{pmatrix}$  is  $\langle x - \varepsilon, n \rangle$  and  $Z[x]/\langle x - \varepsilon, n \rangle \cong Z_n$ . Hence the non-negative integer  $n$  is uniquely determined by the conjugate class of  $A$ .

(3) and (4). Assume that  $\text{Tr}(A) \neq \pm 2$ . Then  $f_A(x)$  is irreducible over  $Z$ , and we can make use of the results of [7] or [11]. Let  $\xi$  be the first root of  $f_A(x)$ , that is,  $\xi = \{\text{Tr}(A) + \sqrt{D_A}\}/2$ . Then there are algebraic integers  $\omega_1$  and  $\omega_2$  of  $Z[\xi]$ , such that  $A \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} = \xi \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix}$ . [7] and [11] proved that the set  $\{\omega_1, \omega_2\}$  forms a base of an ideal of the ring  $Z[\xi]$ , and the ideal class of the ideal  $\langle \omega_1, \omega_2 \rangle$  is uniquely determined by the conjugate class of  $A$ . (3) follows from Lemma 9 below and the fact that the class numbers of the rings  $Z\{(\pm 1 + \sqrt{3}i)/2\}$  and  $Z[i]$  are equal to 1. (4) is deduced from the following facts (i) ~ (iii): (i) two ideals  $\langle \omega_1, \omega_2 \rangle$  and  $\langle \omega'_1, \omega'_2 \rangle$  are in the same ideal class, iff the quadratic irrationalities  $\omega_2/\omega_1$  and  $\omega'_2/\omega'_1$  are equivalent, (ii) two quadratic irrationalities are equivalent, iff the purely cyclic parts of the infinite continued fractions representing the quadratic irrationalities are equal up to cyclic permutations (see [4]), (iii)  $A \begin{pmatrix} b \\ \xi - a \end{pmatrix} = \xi \begin{pmatrix} b \\ \xi - a \end{pmatrix}$ , and  $(\xi - a)/b = \{(d - a) + \sqrt{D_A}\}/2b$ .

For the matrix  $A_{\alpha, \beta}$ , we have the following:

Lemma 9. Let  $(\alpha, \beta)$  be a pair of integers, such that  $1 \leq \alpha \leq |\beta|$  or  $0 = \alpha \leq \beta$ . Then the following hold:

- (1)  $A_{\alpha, \beta}$  is conjugate to  $A_{\alpha, \beta}^{-1}$ .

- (2)  $\text{Tr}(A_{\alpha,\beta}) = -2$ , iff  $\alpha = 0$ .  $A_{0,\beta}$  is equal to  $\begin{pmatrix} -1 & 0 \\ \beta & -1 \end{pmatrix}$ .
- (3)  $\text{Tr}(A_{\alpha,\beta}) = 2$ , iff  $(\alpha,\beta) = (1,4)$  or  $(2,2)$ .  $A_{1,4}$  is conjugate to  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ , and  $A_{2,2}$  is conjugate to  $\begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$ .
- (4)  $\text{Tr}(A_{\alpha,\beta}) = -1$  (resp. 0, 1), iff  $(\alpha,\beta) = (1,1)$  (resp.  $(1,2), (1,3)$ ).
- (5) If  $|\text{Tr}(A_{\alpha,\beta})| \geq 3$ , the purely cyclic part of the infinite continued fraction representing  $\theta(A_{\alpha,\beta})$  is given by the following formula up to cyclic permutations:

$$[\dot{\alpha}, |\dot{\beta}|] \text{ if } \beta < 0,$$

$$[\dot{1}, (\dot{\beta}-4)] \text{ if } \alpha = 1 \text{ and } \beta \geq 5,$$

$$[\dot{2}, (\dot{\beta}-2)] \text{ if } \alpha = 2 \text{ and } \beta \geq 3,$$

$$[\dot{1}, (\alpha-2), 1, (\dot{\beta}-2)] \text{ if } \alpha \geq 3 \text{ and } \beta \geq 3.$$

*Proof.* (1) follows from Lemma 4 (1). (2), (3), and (4) are trivial.

(5) By direct calculation, we can prove that  $\theta(A_{\alpha,\beta})$  is represented by the following infinite continued fraction:

$$[-1, (|\beta|+1), \dot{1}, |\dot{\beta}|] \text{ if } \alpha = 1 \text{ and } \beta < 0,$$

$$[-1, 1, (\alpha-1), |\dot{\beta}|, \dot{\alpha}] \text{ if } \alpha \geq 2 \text{ and } \beta < 0,$$

$$[(-\beta+1), (\beta-3), \dot{1}, (\dot{\beta}-4)] \text{ if } \alpha = 1 \text{ and } \beta \geq 5,$$

$$[-\beta, 1, 1, (\dot{\beta}-2), \dot{2}] \text{ if } \alpha = 2 \text{ and } \beta \geq 3,$$

$$[-\beta, (\alpha-1), \dot{1}, (\dot{\beta}-2), 1, (\alpha-2)] \text{ if } \alpha \geq 3 \text{ and } \beta \geq 3.$$

Now, Theorem 4 in the introduction and Theorem 5 below are immediate consequences of Lemmas 7, 8, and 9.

**Theorem 5.** Let  $A$  be a matrix of  $SL(2, \mathbb{Z})$ . Then  $M_A$  is a 2-fold branched cyclic covering of  $S^3$ , iff one of the following conditions holds:

$$(1) \quad -2 \leq \text{Tr}(A) \leq 1,$$

(2)  $\text{Tr}(A) = 2$  and  $\text{Coker}(A - I) \cong \mathbb{Z}$  or  $\mathbb{Z} \oplus \mathbb{Z}_2$

(3)  $|\text{Tr}(A)| \geq 3$  and there is a pair of integers  $(\alpha, \beta)$ , such that  $\alpha\beta = \text{Tr}(A) + 2$  and the purely cyclic part of the infinite continued fraction representing  $\theta(A)$  is equal to that representing  $\theta(A_{\alpha, \beta})$ , which is given by Lemma 9 (5), up to cyclic permutations.

Example 1. Let  $A = \begin{pmatrix} -5 & 3 \\ 8 & -5 \end{pmatrix}$ . Then  $H_1(M_A) \cong \mathbb{Z} \oplus \mathbb{Z}_{12}$ ; so  $M_A$  satisfies the necessary condition given by Theorem 1. Nevertheless  $M_A$  is not a 2-fold branched cyclic covering of  $S^3$ . In fact,  $\text{Tr}(A) = -10$  and  $\theta(A) = \sqrt{96}/6 = [1, \dot{1}, 1, 1, \dot{3}]$ ; so  $A$  does not satisfy the condition of Theorem 5.

Example 2. Let  $M$  be a torus bundle such that  $\dim_{\mathbb{Q}} H_1(M; \mathbb{Q}) \geq 2$ . Then  $M$  is a 2-fold branched cyclic covering of  $S^3$ , iff  $H_1(M)$  is isomorphic to  $\mathbb{Z} \oplus \mathbb{Z}$  or  $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}_2$ .

Now we will give a list of orientable torus bundles  $M$ , such that  $\dim_{\mathbb{Q}} H_1(M; \mathbb{Q}) = 1$  and  $|\text{Tor} H_1(M)| \leq 20$ . To do this, we need the following lemma, which is proved by direct calculation.

Lemma 10. (1) For a matrix  $A$  of  $SL(2, \mathbb{Z})$ , the following hold:

(i)  $\dim_{\mathbb{Q}} H_1(M_A; \mathbb{Q}) \geq 2$ , iff  $\text{Tr}(A) = 2$ ,

(ii) If  $\text{Tr}(A) \neq 2$ , then  $|\text{Tor} H_1(M_A)| = |\text{Tr}(A) - 2|$  and  $D_A$  is equal to  $|\text{Tor} H_1(M_A)| \cdot \{|\text{Tor} H_1(M_A)| \pm 4\}$ .

(2) Let  $m$  be an integer such that  $|m| \geq 3$ , and let  $\xi$  be the first root of a polynomial  $px^2 + qx + r$  such that  $q^2 - 4pr = m^2 - 4$ . Define  $A(\xi)$  to be the matrix  $\begin{pmatrix} (m+q)/2 & p \\ -r & (m-q)/2 \end{pmatrix}$ . Then  $A(\xi)$  is an element of  $SL(2, \mathbb{Z})$ ,  $\text{Tr}(A(\xi)) = m$ , and  $\theta(A(\xi)) = \xi$ .

Thus to classify torus bundles  $M$ , such that  $|\text{Tor}H_1(M)| = n$ , we have only to classify quadratic irrationalities which are roots of quadratic polynomials whose discriminants are equal to  $n(n \pm 4)$ .

In the following list, the torus bundle  $M_{\alpha, \beta}$  is denoted by  $(\alpha, \beta)$ . A torus bundle  $M_A$ , which is not a 2-fold branched cyclic covering of  $S^3$ , is represented by  $A$ , and the purely cyclic part of the infinite continued fraction representing  $\theta(A)$  is written on the right of  $A$ .

$H_1(M)$	Torus bundles
$Z$	(1,3), (1,5)
$Z \oplus Z_2$	(1,2), (1,6) $\cong$ (2,3)
$Z \oplus Z_3$	(1,1), (1,7)
$Z \oplus Z_4$	(0,2 $\beta$ +1) where $\beta \in \text{Nu}\{0\}$ , (1,8)
$Z \oplus Z_2 \oplus Z_2$	(0,2 $\beta$ ) where $\beta \in \text{Nu}\{0\}$ , (2,4)
$Z \oplus Z_5$	(1,-1), (1,9), (3,3)
$Z \oplus Z_6$	(1,-2), (1,10), (2,5)
$Z \oplus Z_7$	(1,-3), (1,11)
$Z \oplus Z_8$	(1,-4), (1,12), (3,4)
$Z \oplus Z_2 \oplus Z_4$	(2,-2), (2,6)
$Z \oplus Z_9$	(1,-5), (1,13)
$Z \oplus Z_3 \oplus Z_3$	$\begin{pmatrix} -5 & 3 \\ 3 & -2 \end{pmatrix}^{**} [1]$ , $\begin{pmatrix} 4 & 3 \\ 9 & 7 \end{pmatrix}^{**} [\dot{3}]$
$Z \oplus Z_{10}$	(1,-6), (2,-3), (1,14), (2,7)
$Z \oplus Z_{11}$	(1,-7), (1,15), (3,5)
$Z \oplus Z_{12}$	(1,-8), (1,16), $\begin{pmatrix} -5 & 3 \\ 8 & -5 \end{pmatrix}^* [1,1,1,2]$ , $\begin{pmatrix} 7 & 3 \\ 16 & 7 \end{pmatrix}^* [\dot{3},4]$
$Z \oplus Z_2 \oplus Z_6$	(2,-4), (2,8), (4,4)



$$\begin{aligned}
Z \otimes Z_{13} & (1, -9), (3, -3), (1, 17), \begin{pmatrix} 5 & 7 \\ 7 & 10 \end{pmatrix} [i, 2, 2, i] \\
Z \otimes Z_{14} & (1, -10), (2, -5), (1, 18), (2, 9), (3, 6) \\
Z \otimes Z_{15} & (1, -11), (1, 19), \begin{pmatrix} -8 & 3 \\ 13 & -5 \end{pmatrix} ** [i, 1, 1, \dot{3}], \begin{pmatrix} 7 & 3 \\ 23 & 10 \end{pmatrix} ** [\dot{3}, \dot{5}] \\
Z \otimes Z_{16} & (1, -12), (3, -4), (1, 20), (4, 5) \\
Z \otimes Z_2 \otimes Z_8 & (2, -6), (2, 10) \\
Z \otimes Z_4 \otimes Z_4 & \begin{pmatrix} -7 & 4 \\ 12 & -7 \end{pmatrix} * [i, \dot{2}], \begin{pmatrix} 9 & 4 \\ 20 & 9 \end{pmatrix} * [\dot{4}], \begin{pmatrix} 5 & 8 \\ 8 & 13 \end{pmatrix} ** [i] \\
Z \otimes Z_{17} & (1, -13), (1, 21), (3, 7), \begin{pmatrix} -10 & 7 \\ 7 & -5 \end{pmatrix} [i, 2, 2, i] \\
Z \otimes Z_{18} & (1, -14), (2, -7), (1, 22), (2, 11), \begin{pmatrix} 7 & 9 \\ 10 & 13 \end{pmatrix} [i, 2, 3, i] \\
Z \otimes Z_3 \otimes Z_6 & \begin{pmatrix} -8 & 3 \\ 21 & -8 \end{pmatrix} * [i, 1, 4, i], \begin{pmatrix} 10 & 3 \\ 33 & 10 \end{pmatrix} * [\dot{6}, \dot{3}] \\
Z \otimes Z_{19} & (1, -15), (3, -5), (1, 23) \\
Z \otimes Z_{20} & (1, -16), (1, 24), (3, 8), \begin{pmatrix} -9 & 5 \\ 16 & -9 \end{pmatrix} * [i, 2, 1, \dot{3}] \\
& \begin{pmatrix} 11 & 8 \\ 15 & 11 \end{pmatrix} * [\dot{2}, 1, 2, \dot{2}], \begin{pmatrix} 9 & 4 \\ 29 & 13 \end{pmatrix} ** [\dot{4}, \dot{5}] \\
Z \otimes Z_2 \otimes Z_{10} & (2, -8), (4, -4), (2, 12), (4, 6), \begin{pmatrix} -13 & 8 \\ 8 & -5 \end{pmatrix} ** [i]
\end{aligned}$$

Remark. For a matrix  $A$  dotted by  $*$  (resp.  $**$ ), the equation  $AP = PA^{-1}$  holds, where  $P = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  (resp.  $\begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix}$ ); so it can be seen that  $M_A$  is a 2-fold branched cyclic covering of a certain lens space which is not  $S^2 \times S^1$ , from a similar argument to the proof of Theorem 2. Furthermore we can see that  $M_A$  is a  $Z_2 \otimes Z_2$ -branched covering of  $S^3$ , from a similar argument to that of [5]. On the other hand, it can be seen that, there are no such equations for the matrices which are not dotted by  $*$  or  $**$ , and the corresponding torus bundles are not 2-fold branched cyclic coverings of the lens spaces other than  $S^2 \times S^1$ . In particular, for the matrix  $A = \begin{pmatrix} 7 & 9 \\ 10 & 13 \end{pmatrix}$ ,  $\theta(A) = [i, 2, 3, i]$  and  $\theta(A^{-1}) = [-1, 4, \dot{2}, 1, 1, \dot{3}]$ ; so  $A$  is not conjugate to  $A^{-1}$ .

## Addendum 1.

From Lemma 3, it can be seen that every closed orientable 3-manifold has a surface bundle as a 2-fold branched cyclic covering. In other words, every closed orientable 3-manifold is a quotient space of a surface bundle by an involution.

## Addendum 2.

F. Raymond and J.L. Tollefson asserted that a certain family of surface bundles  $\{M_\phi\}$  admit no nontrivial periodic maps in their paper "Closed 3-manifolds with no periodic maps" Trans. A.M.S. 221 (1976). Nevertheless, it appears not to be valid. Here we show that  $\{M_\phi\}$  are 2-fold branched cyclic coverings of  $S^3$ , and therefore they admit nontrivial involutions.

The surface homeomorphism  $\phi$  is defined by the notion of a twist map. For a simple closed curve  $c$  on  $F_g$ , let  $t(c)$  be the twist map about  $c$ . We adopt the convention that  $t(c)$  moves points on a direct line segment which is approaching  $c$  to the right. Now consider a closed orientable surface  $F_g$  ( $g \geq 3$ ) embedded in  $R^3$  as illustrated in Fig. 3, and let  $\{a_i, b_i$  ( $1 \leq i \leq g$ ) $\}$  denote the set of simple closed curves shown.

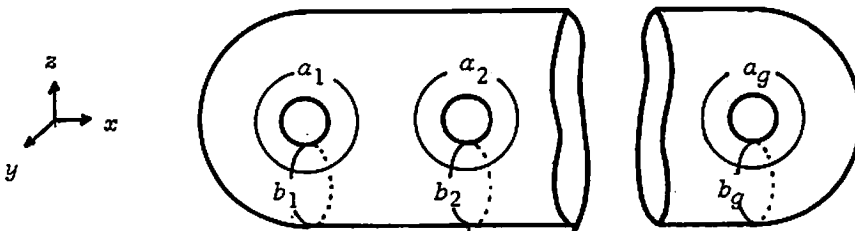


Fig. 3

Fix a set  $\{n_1, \dots, n_g\}$  of arbitrary but distinct positive integers, each greater than 2, and define  $\phi$  to be the homeomorphism of the surface obtained by setting  $\phi = \prod_{i=1}^g t(a_i) \circ t(b_i)^{-n_i+1}$ . To adjust these notations to the notations used in §1, we identify  $(R^3, F_g, \{a_i, b_i\})$  in Fig. 3 with  $(R^3, F_g, \{L_i, m_i\})$  in Fig. 4 by an orientation reversing homeomorphism of  $R^3$ .

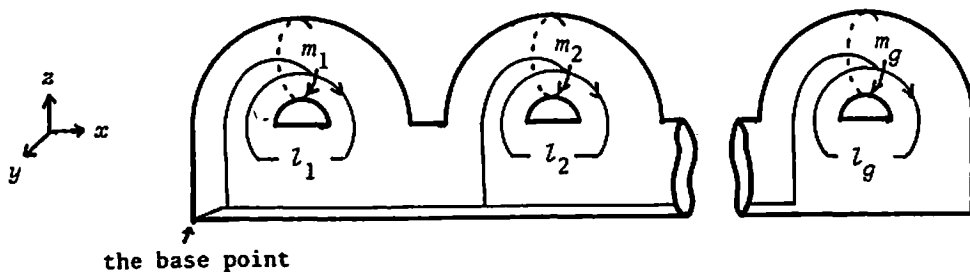


Fig. 4

Then  $t(a_i)$  (resp.  $t(b_i)$ ) corresponds to  $t(L_i)^{-1}$  (resp.  $t(m_i)^{-1}$ ); so  $\phi$  corresponds to  $\phi' = \prod_{i=1}^g t(L_i)^{-1} \circ t(m_i)^{n_i-1}$ . Generators of the fundamental group  $\pi_1(F_g)$  are taken as illustrated in Fig. 4, and we use the same symbol  $L_i$  (resp.  $m_i$ ) to denote the element of  $\pi_1(F_g)$  corresponding to the loop  $L_i$  (resp.  $m_i$ ). Let us look at the automorphism of  $\pi_1(F_g)$  induced by the twist maps  $t(L_i)$  and  $t(m_i)$ . Each generator is fixed by  $t(L_i)_\#$  except  $m_i$  which is mapped to  $\bar{L}_i m_i$  and  $t(m_i)_\#$  sends  $L_i$  to  $m_i L_i$  and fixes the remaining generators. So we have  $\phi'_\#(L_i) = (L_i m_i)^{n_i-1} L_i$  and  $\phi'_\#(m_i) = L_i m_i$ , and  $\phi'_* : H_1(F_g) \rightarrow H_1(F_g)$  is represented by the matrix  $A_{\phi'} = \prod_{i=1}^g \begin{pmatrix} n_i & n_i-1 \\ 1 & 1 \end{pmatrix}$ . Note that  $\begin{pmatrix} -1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} n_i & n_i-1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} -1 & -2 \\ 1 & 1 \end{pmatrix}^{-1} = A_{n_i+3,1}$ , where  $A_{n_i+3,1}$  is the matrix given by Theorem 2 and  $A_{n_i+3,1}$  is conjugate to

$A_{n_i+3,1}^{-1}$  by Lemma 4. So the matrix  $\begin{pmatrix} n_i & n_i-1 \\ 1 & 1 \end{pmatrix}$  is conjugate to its inverse; this contradicts Lemma 1 of the preceding paper of Raymond and Tollefson.

The above observation in cooperation with Lemma 3 provides a candidate for a link in  $S^3$  which has  $M_\Phi (\cong M_{\Phi'})$  as the 2-fold branched cyclic covering. Consider two pairs of manifolds  $(V_1, S_1(n_1+3, \dots, n_g+3))$  and  $(V_2, S_2(1, \dots, 1))$  defined in §1. Let  $f: \partial V_1 \rightarrow \partial V_2$  be the homeomorphism corresponding to the standard Heegaard splitting of  $S^3$ . Let  $L$  be the link  $\partial F_1 \cup \partial F_2$  in  $V_1 \cup_f V_2 \cong S^3$ . Then, by Lemma 3, the 2-fold branched cyclic covering  $M$  of  $S^3$  branched along  $L$  is an  $F_g$ -bundle with monodromy  $\phi = \gamma_1^{-1} \circ f^{-1} \circ \gamma_2 \circ f$ , where  $\gamma_1$  and  $\gamma_2$  are homeomorphisms defined in the proof of Lemma 3. We claim that  $M$  is homeomorphic to  $M_\Phi$ . To prove this, we show that  $\phi_\#$  is conjugate to  $\Phi'_\#$  in  $Aut(\pi_1(F_g))$ . It can be seen that  $f_\#, \gamma_{1\#}$  and  $\gamma_{2\#}$  are given by the formulas  $f_\#(l_i) = W_i m_i \bar{w}_i$ ,  $f_\#(m_i) = W_i l_i \bar{w}_i$ ,  $\gamma_{1\#}(l_i) = W_i m_i^{n_i+2} l_i m_i \bar{w}_i$ ,  $\gamma_{1\#}(m_i) = W_i \bar{m}_i \bar{w}_i$ ,  $\gamma_{2\#}(l_i) = W_i l_i m_i \bar{w}_i$ , and  $\gamma_{2\#}(m_i) = W_i \bar{m}_i \bar{w}_i$ , where  $W_i = \prod_{j=1}^{i-1} \bar{m}_j \bar{l}_j m_j l_j$ . Furthermore the relations  $f_\#^2 = \gamma_{1\#}^2 = \gamma_{2\#}^2 = Id$  and  $f_\#(W_i) = \gamma_{1\#}(W_i) = \gamma_{2\#}(W_i) = \bar{w}_i$  hold. From this it can be seen that  $\phi_\#(l_i) = \bar{m}_i \bar{l}_i \bar{m}_i^{(n_i+2)}$  and  $\phi_\#(m_i) = m_i^{n_i+1} l_i m_i$ . Now let  $\psi = \prod_{i=1}^g t(l_i)^{-1} \circ t(m_i)^{-2}$ . Then, by a direct calculation, we have  $\phi_\# = \psi_\#^{-1} \circ \Phi'_\# \circ \psi_\#$ . Hence, by a well-known theorem of Nielsen,  $\phi$  is isotopic to  $\psi^{-1} \circ \Phi' \circ \psi$ ; so  $M$  is homeomorphic to  $M_\Phi$ .

## Addendum 3.

Here we give an affirmative answer to the following problem (Problem 25 of "Knot Theory, Proceedings, Plan-sur-Bex, Switzerland 1977, Lect. Notes in Math. 685, Springer-Verlag, 1978, p.311")

*Problem. Do there exist links in  $S^3$  with the same complement which are distinguished by the first Betti numbers of their 2-fold branched covers?*

Consider the link  $K_{2,2}$  defined in the introduction. It is equivalent to the link as illustrated in Fig. 5 (a). So it can be seen that its complement is homeomorphic to the complement of the link  $K'$  as illustrated in Fig. 5 (b).

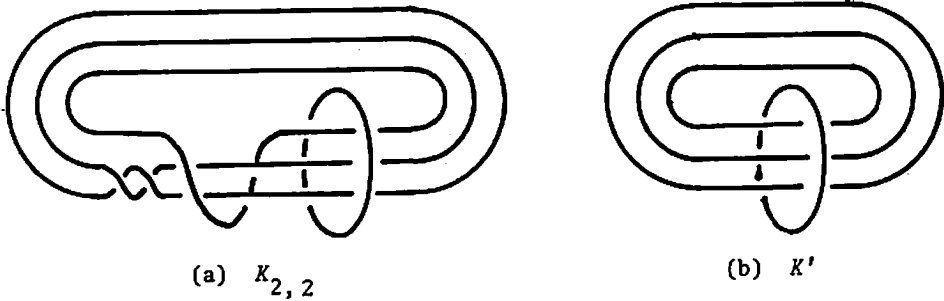


Fig. 5

The 2-fold branched cyclic covering of  $K_{2,2}$  is a torus bundle whose first Betti number is equal to 2. On the other hand, the 2-fold branched cyclic covering of  $K'$  is homeomorphic to  $RP^3 \# RP^3 \# RP^3$ ; so its first Betti number is equal to 0. This gives an affirmative answer to the preceding problem.

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