

## DEHN SURGERY ON SYMMETRIC KNOTS

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For a knot  $K$  in  $S^3$  and a rational number  $r$ , let  $M(K, r)$  be the closed 3-manifold obtained by Dehn surgery of type  $r$  on  $K$ .  $K$  is said to have *Property*  $P_n$  ( $n \in \mathbb{Z} \setminus \{0\}$ ), iff  $M(K, 1/n)$  is not simply connected, and  $K$  is said to have *Property*  $P$ , iff  $K$  has *Property*  $P_n$  for any  $n \in \mathbb{Z} \setminus \{0\}$ . A link  $L$  in  $S^3$  is said to have *Property*  $P^*$ , iff every Dehn surgery of  $S^3$  on  $L$  does not produce a fake homotopy 3-sphere (cf. [23]).

Montesinos [13] studied the relationship between 2-fold branched coverings and closed 3-manifolds obtained by Dehn surgery on links with symmetry. In particular, he showed that every simply connected 2-fold branched covering of  $S^3$  is homeomorphic to  $S^3$ , iff every strongly invertible link has *Property*  $P^*$ . (See p. 227 of [13]. Note that the term "Property  $P$ " in [13] means *Property*  $P^*$  in this paper.) Hence, by the positive solution of the homotopy Smith conjecture [20], it follows that every strongly invertible link has *Property*  $P^*$ . Nevertheless, it remains open whether every strongly invertible knot has *Property*  $P$ .

In this paper, using the techniques of Montesinos [13] and the homotopy Smith conjecture, we prove the *Property*  $P$  conjecture for some classes of knots with symmetry — a class containing all 3-strand pretzel knots of odd type (Theorem 1), and a class containing all 2-bridge knots (Theorem 2). (For 2-bridge knots, the *Property*  $P$  conjecture has been proved by Takahashi [21].)

We apply our method to the knots in the knot table which were not proved by Riley [17] to have *Property*  $PP$  (which is stronger than *Property*  $P$ ). Through it, we can conclude that all knots in the table with 9 crossings or less except  $8_{17}$ ,  $9_{32}$  and  $9_{33}$  have *Property*  $P$  (see Section 7). (The exceptional knots  $8_{17}$ ,  $9_{32}$  and  $9_{33}$  are the only knots with 9 crossings or less, which are non-invertible (see [5, 7]).)

### 1. Dehn surgery on periodic knots

Let  $L = O \cup K$  be a 2-component link in  $S^3$  with  $O$  a trivial knot, and let  $n$  ( $n > 1$ ) be a positive integer relatively prime to  $\lambda = lk(O, K)$ . The  $n$ -fold cyclic branched covering  $\sum_n(O)$  of  $S^3$  branched along  $O$  is again a 3-sphere, and the lift,  $C_n(L)$ , of  $K$  to  $\sum_n(O)$  is a periodic knot of period  $n$ . (By [20], every periodic knot is so obtained.) Let  $N(K)$  be a regular neighbourhood of  $K$ , which is disjoint

from  $O$ . For an integer  $k$ , the homology sphere  $M(K, 1/k)$  is obtained from  $S^3 - \text{int } N(K)$  by sewing a solid torus. Let  $W_k(L)$  be the knot in  $M(K, 1/k)$  given by  $O \subset S^3 - \text{int } N(K) \subset M(K, 1/k)$ . [Hereafter, for a 2-component ordered link  $L = K_1 \cup K_2$  in  $S^3$ , we use the symbol  $C_n(L)$  (resp.  $W_k(L)$ ) to denote the lift of  $K_2$  in  $\Sigma_n(K_1)$  (resp. the knot  $K_1$  in  $M(K_2, 1/k)$ ).]

The following is a generalization of Theorem 2 of [13].

**PROPOSITION 1.** *For an integer  $q$ ,  $M(C_n(L), 1/q)$  is the  $n$ -fold cyclic branched covering of  $M(K, 1/nq)$  branched along  $W_{nq}(L)$ .*

**PROOF.** Let  $p$  be the covering projection  $\Sigma_n(O) \rightarrow S^3$ , and let  $\tilde{N}(K) = p^{-1}(N(K))$ . Then  $\tilde{N}(K)$  is a regular neighbourhood of  $C_n(L)$ , which is invariant under the  $Z_n$ -action on  $\Sigma_n(O)$ . Let  $\ell$  and  $m$  be a preferred longitude (see p. 31 of [19]) and a meridian of  $N(K)$ , and let  $\tilde{\ell}$  and  $\tilde{m}$  be a lift of  $\ell$  and  $m$  respectively. Then  $\tilde{\ell}$  and  $\tilde{m}$  are a preferred longitude and a meridian of  $\tilde{N}(K)$  respectively, and their homology classes satisfy the equations  $p_*([\tilde{\ell}]) = n[\ell]$  and  $p_*([\tilde{m}]) = [m]$  in  $H_1(\partial N(K))$ . Now,  $M(C_n(L), 1/q)$  is obtained from  $\Sigma_n(O) - \text{int } \tilde{N}(K)$  and a solid torus  $\tilde{T}$  by identifying their boundaries, where a meridian  $\tilde{\mu}$  of  $\tilde{T}$  is identified with a simple loop on  $\partial \tilde{N}(K)$  representing the homology class  $q[\tilde{\ell}] + [\tilde{m}] \in H_1(\partial \tilde{N}(K))$ . It can be seen that the  $Z_n$ -action on  $\partial \tilde{N}(K) = \partial \tilde{T}$  extends to a free  $Z_n$ -action on  $\tilde{T}$ , such that  $\tilde{T}/Z_n$  is again a solid torus  $T$ . Thus we obtain a  $Z_n$ -action on  $M(C_n(L), 1/q)$ , such that

- (1)  $\text{Fix}(Z_n) = p^{-1}(O)$ , and
- (2)  $M(C_n(L), 1/q)/Z_n = (\Sigma_n(O) - \text{int } \tilde{N}(K))/Z_n \cup \tilde{T}/Z_n$   
 $\cong (S^3 - \text{int } N(K)) \cup T$ .

Here a meridian  $\mu$  of  $T$  is identified with a simple loop on  $\partial N(K)$  representing the homology class  $nq[\ell] + [m] \in H_1(\partial N(K))$ , since  $p_*([\tilde{\mu}]) = p_*(q[\tilde{\ell}] + [\tilde{m}]) = nq[\ell] + [m]$  in  $H_1(\partial N(K))$ . This completes the proof.

From the above, we have the following proposition.

**PROPOSITION 2.**  *$C_n(L)$  has Property  $P_q$  ( $q \in \mathbb{Z} \setminus \{0\}$ ), iff  $K$  has Property  $P_{nq}$  or the knot  $W_{nq}(L)$  in  $M(K, 1/nq)$  is non-trivial.*

**PROOF.** This follows from the positive solution of the homotopy Smith Conjecture [20] and the fact that the homomorphism  $\pi_1(M(C_n(L), 1/q)) \rightarrow \pi_1(M(K, 1/nq))$  induced by the covering projection is an epimorphism.

**EXAMPLE 1.** The knot  $8_{18}$  is a periodic knot of period 4. Let  $h$  be a periodic map on  $S^3$  realizing the 4-fold symmetry. Then the knot  $8_{18}/h^2 \subset S^3/h^2 \cong S^3$  is a figure-eight knot, which has Property P by [1, 3]. Hence  $8_{18}$  has Property P.

EXAMPLE 2. Let  $L = O \cup K$  be a link as illustrated in Fig. 1. Then  $C_2(L)$  is a figure-eight knot (see Fig. 2).

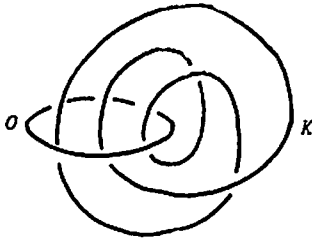


Fig. 1

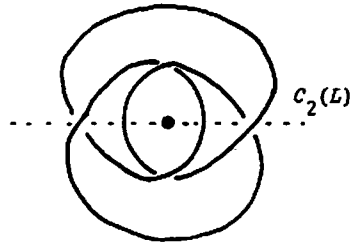


Fig. 2

Since  $K$  is a trivial knot,  $M(C_2(L), 1/q)$  is the 2-fold branched covering of  $S^3$  branched along the knot  $W_{2q}(L)$ , which is obtained from  $O$  by  $(-2q)$  right-hand full twists along  $K$  (see Fig. 3).

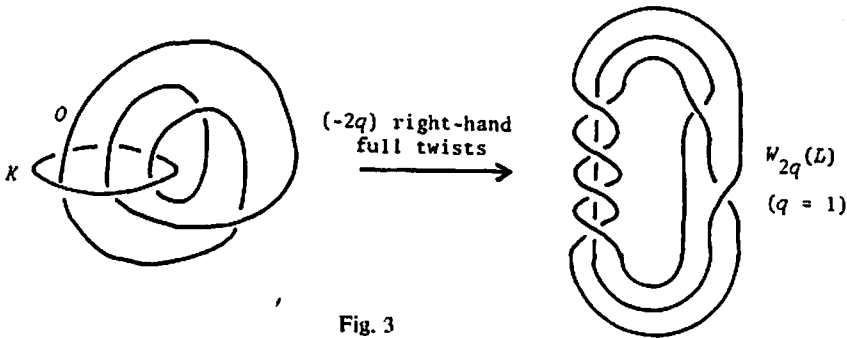


Fig. 3

The Alexander polynomial of  $W_{2q}(L)$ , which is calculated in Example 4 of Section 3, is nontrivial. So, we can conclude that the figure-eight knot has Property P.

## 2. Dehn surgery on strongly invertible knots

Let  $O$  be a trivial knot in  $S^3$ , and let  $J$  be an arc in  $S^3$  such that  $J \cap O = \partial J$ . The 2-fold branched covering  $\Sigma_2(O)$  of  $S^3$  branched along  $O$  is a 3-sphere, and the inverse image,  $I(J)$ , of the arc  $J$  in  $\Sigma_2(O)$  is a strongly invertible knot. (By [24], every strongly invertible knot is so obtained.)

Montesinos proved that  $M(I(J), r)$  ( $r \in \mathbb{Q} \cup \{\infty\}$ ) is a 2-fold branched covering of  $S^3$  (see Theorem 1 of [13]). Let  $F_q(J)$  ( $q \in \mathbb{Z}$ ) be the branch line of the branched covering  $M(I(J), 1/q) \rightarrow S^3$ . Then, by the homotopy Smith conjecture [20], we have the following.

PROPOSITION 3.  $I(J)$  has Property  $P_q$  ( $q \in \mathbb{Z} \setminus \{0\}$ ), iff the knot  $F_q(J)$  is nontrivial.

Here, we describe the branch line  $F_q(J)$  according to [14] (cf. [12]). Let  $B$  be a regular neighbourhood of  $J$ , such that  $O$  intersects  $B$  in two disjoint proper arcs (see Fig. 4). Then the inverse image  $\tilde{B}$  of  $B$  is a regular neighbourhood of  $I(J)$ , which is invariant under the nontrivial covering transformation  $t$  (see Fig. 5).

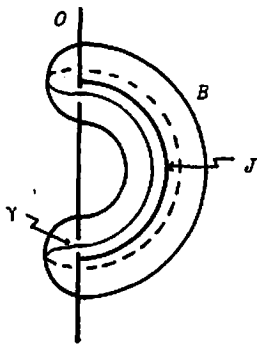


Fig. 4

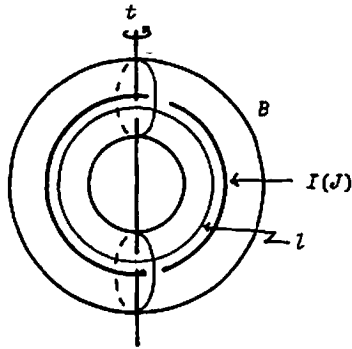


Fig. 5

Let  $\ell$  be a preferred longitude of  $\tilde{B}$ , such that  $t(\ell) \cap \ell = \emptyset$ , and let  $\gamma = p(\ell)$ , where  $p$  is the projection  $\Sigma_2(O) \rightarrow S^3$  (see Fig.'s 4, 5 and 6). Let  $D_\gamma$  be a homeomorphism on  $B$  as illustrated in Fig. 6.

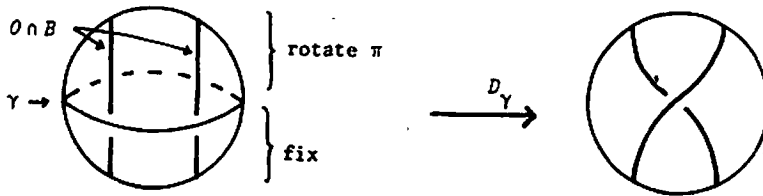


Fig. 6

Then the branch line  $F_q(J)$  is given by

$$F_q(J) = (O - (O \cap \text{int } B)) \cup D_\gamma^{-q}(O \cap B) \subset (S^3 - B) \cup B = S^3.$$

Let  $L_0(J) = O \cup \gamma$  and  $L_1(J) = F_1(J) \cup \gamma$ . Then, we have

$$F_q(J) \cong \begin{cases} W_k(L_0(J)) & (q = 2k) \\ W_k(L_1(J)) & (q = 2k + 1). \end{cases}$$

(Recall the definition of  $W_k(\cdot)$  given in Section 1.)

EXAMPLE 3. Let  $O$  and  $J$  be a trivial knot and an arc in  $S^3$  as illustrated in Fig. 7. Then  $I(J)$  is a figure-eight knot (see Fig. 8).



Fig. 7

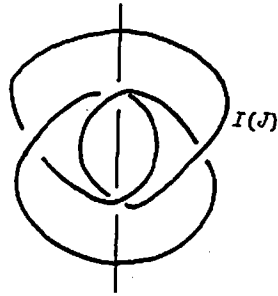


Fig. 8

The knot  $F_q(J) = (O - (O \cap \text{int } B)) \cup D_Y^{-q}(O \cap B)$  is illustrated in Fig. 9.

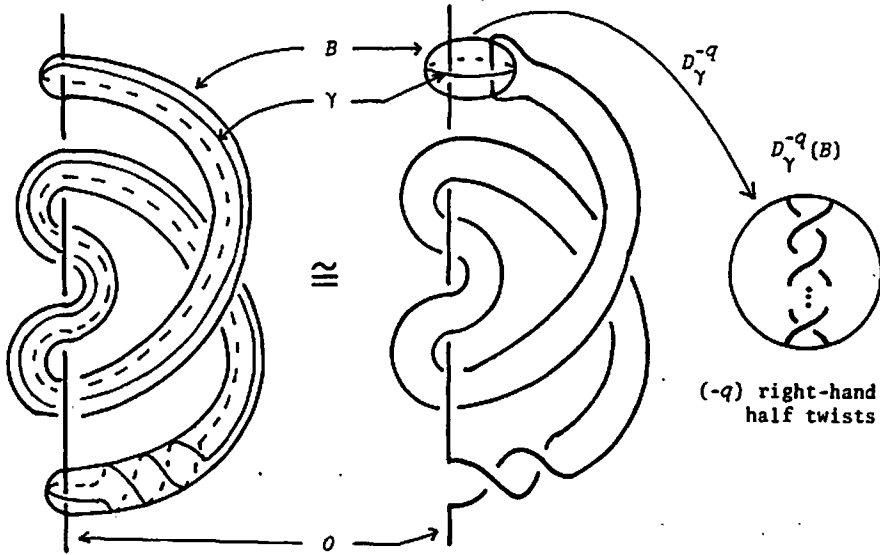


Fig. 9

The Alexander polynomial of  $F_q(J)$ , which is calculated in Example 5 of Section 3, is nontrivial. So, we can again conclude that the figure-eight knot has Property P.

### 3. The effect of the transformation $W_k(\ )$ on the Alexander polynomials

As discussed in the previous sections, the Property P conjecture for periodic

knots and strongly invertible knots is reduced to proving the nontriviality of knots obtained from certain 2-component links through the operation  $W_k(\ )$ .

In this section, we give formulas of the Alexander polynomials of such knots, one of which was formulated by Kidwell [8].

Let  $L=K_1 \cup K_2$  be an oriented ordered link in an oriented  $S^3$ . Recall that  $W_k(L)$  denotes the knot  $K_1$  in  $M(K_2, 1/k)$ . If  $K_2$  is a trivial knot,  $W_k(L)$  is a knot in  $S^3$  obtained from  $K_1$  by  $(-k)$  right-hand full twists along  $K_2$ . Let  $\Delta(x, y)$  be the Alexander polynomial of the link  $L$ ,  $\lambda=lk(K_1, K_2)$ , and  $\Delta_k(t)$  be the Alexander polynomial of the knot  $W_k(L)$ . In case  $\lambda=0$ , define a polynomial  $A(t)$  as follows. Let  $V=M(K_2, 0)-int N(K_1)$ , where  $N(K_1)$  is a regular neighbourhood of  $K_1$ , and let  $\tilde{V}$  be the infinite cyclic cover of  $V$  corresponding to the composite homomorphism  $\pi_1(V) \rightarrow Z$  of the abelianization and  $\tau: H_1(V) \cong Z \oplus Z \rightarrow Z$  where  $\tau$  carries the meridians of  $K_1$  and  $K_2$  to a generator and zero respectively. Define  $A(t)$  to be the determinant of a square presentation matrix of the  $Z\langle t \rangle$ -module  $H_1(\tilde{V})$  (see Section 2 of [9]). We call  $A(t)$  the  $A\tau$ -polynomial of  $L$ .

**PROPOSITION 4.** (1) (Corollary 3.2 of Kidwell [8]) *In case  $\lambda \neq 0$ , we have  $\Delta_k(t) = \Delta(t, t^{-k\lambda}) / \rho_\lambda(t)$ , where  $\rho_\lambda(t) = (t^\lambda - 1) / (t - 1)$ .*

(2) *In case  $\lambda = 0$ , we have  $\Delta_k(t) = \Delta_0(t) + kA(t)$ .*

**REMARK.** Since the polynomials  $\Delta_k(t)$  and  $A(t)$  are well-defined only up to units of the group ring  $Z\langle t \rangle$ , there remains some ambiguity in the formula (2). The precise meaning of it is as follows: For a suitable representation of the polynomials

$$A(t) = a_0 + \sum_{i>0} a_i(t^i + t^{-i}) \quad \text{and} \quad \Delta_0(t) = b_0 + \sum_{i>0} b_i(t^i + t^{-i}),$$

we have  $\Delta_k(t) = (b_0 + ka_0) + \sum_{i>0} (b_i + ka_i)(t^i + t^{-i})$ .

**PROOF.** (1) This is a generalization of the Torres' formula, and, in fact, is proved by Kidwell [8] by using it.

(2) To prove this formula, we use arguments of Kojima-Yamasaki [9] and Rolfsen [18]. By [18], there are disjoint solid tori  $T_1, \dots, T_n$  in  $S^3$  and a self-homeomorphism  $h$  on  $S^3 - int(T_1 \cup \dots \cup T_n)$ , such that

- (1)  $h(K_1)$  is unknotted in  $S^3$ ,
- (2)  $lk(T_r, K_1) = lk(T_r, h(K_1)) = 0$  for all  $r$ ,
- (3)  $h(\partial T_r) = \partial T_r$  and  $lk(\mu'_r, T_r) = \pm 1$ , where  $\mu_r$  is a meridian of  $T_r$  and  $\mu'_r = h(\mu_r)$ .

Since  $h(K_1)$  is unknotted, the infinite cyclic cover of  $S^3 - int h(N(K_1))$  is

$$p: R^1 \times D^2 \longrightarrow S^1 \times D^2 \cong S^3 - int h(N(K_1)).$$

Since  $lk(h(K_1), K_2)=0$  (resp.  $lk(T_r, h(K_1))=0$ ), a lift  $\tilde{N}(K_2)$  (resp.  $\tilde{T}_r$ ) of  $N(K_2)$ , a regular neighbourhood of  $K_2$  (resp.  $T_r$ ), is homeomorphic to  $D^2 \times S^1$ . Let  $t$  be a generator of the covering transformation group,  $\ell$  and  $m$  be a preferred longitude and a meridian of  $K_2$  respectively, and  $\tilde{\ell}$  (resp.  $\tilde{m}, \tilde{\mu}'_r$ ) be the lift of  $\ell$  (resp.  $m, \mu'_r$ ) to  $\partial\tilde{N}(K_2)$  (resp.  $\partial\tilde{N}(K_2), \partial\tilde{T}_r$ ). Let  $E_k = M(K_2, 1/k) - \text{int } N(W_k(L))$ , where  $N(W_k(L))$  is a regular neighbourhood of the knot  $W_k(L)$ , and let  $\tilde{E}_k$  be the infinite cyclic cover of  $E_k$ . Then  $\tilde{V}$  (resp.  $\tilde{E}_k$ ) is obtained from  $R^1 \times D^2$  by removing each  $\text{int } t^i(\tilde{N}(K_2)), \text{int } t^i(\tilde{T}_r)$  ( $i, j \in \mathbb{Z}$ ), and sewing back a solid torus so that its meridian coincides with  $t^i \tilde{\ell}$  (resp.  $t^i(k\tilde{\ell} + \tilde{m})$ ) or  $t^j \tilde{\mu}'_r$  (resp.  $t^j \tilde{\mu}'_r$ ). Then, by Proposition 4 of [9],  $H_1(\tilde{V})$  has a presentation matrix

$$\begin{pmatrix} a & b \\ c^T & D \end{pmatrix}$$

where  $b = (b_1, \dots, b_n)$ ,  $c = (c_1, \dots, c_n)$ ,  $D = (d_{sr})_{1 \leq s, r \leq n}$

$$\text{with } a = \sum_i lk(\tilde{\ell}, t^i \tilde{K}_2) t^i, \quad b_r = \sum_i lk(\tilde{\ell}, t^i \tilde{T}_r) t^i, \quad c_s = \sum_i lk(\tilde{\mu}'_s, t^i \tilde{K}_2) t^i, \\ d_{sr} = \sum_i lk(\tilde{\mu}'_s, t^i \tilde{T}_r) t^i.$$

Here  $\tilde{K}_2$  is the lift of  $K_2$  to  $\tilde{N}(K_2)$ , and  $lk(, )$  is the linking number in  $R^1 \times D^2$ . By [18],  $D$  is a presentation matrix of  $H_1(\tilde{E}_0)$ . Recall that, in constructing  $\tilde{E}_k$ , the meridian of the solid torus attached to  $\partial\tilde{N}(K_2)$  is identified with  $k\tilde{\ell} + \tilde{m}$ . From this fact, we can see that  $H_1(\tilde{E}_k)$  has a presentation matrix

$$\begin{pmatrix} 1+ka & kb \\ c^T & D \end{pmatrix}.$$

$$\text{Hence } \Delta_k(t) = \det \begin{pmatrix} 1+ka & kb \\ c^T & D \end{pmatrix} \\ = \det \begin{pmatrix} 1 & kb \\ 0 & D \end{pmatrix} + \det \begin{pmatrix} ka & kb \\ c^T & D \end{pmatrix} \\ = \det D + k \det \begin{pmatrix} a & b \\ c^T & D \end{pmatrix} \\ = \Delta_0(t) + kA(t).$$

This completes the proof. (The statement in the remark follows from the fact that  $\Delta_k(t)$  and  $A(t)$  are symmetric (see [6]).)

**EXAMPLE 4.** Consider the same setting as that of Example 2. Then  $\lambda = lk(O, K) = 3$ , and the Alexander polynomial  $\Delta(x, y)$  of  $L = O \cup K$  is  $x + (1-x +$

$x^2)y + xy^2$ . Hence, by Proposition 4, the Alexander polynomial  $\Delta^{(q)}(t)$  of the knot  $W_{2q}(L)$  is given by

$$\Delta^{(q)}(t) = \Delta(t, t^{-6q}) / (1+t+t^2) = (t + t^{-6q} - t^{-6q+1} + t^{-6q+2} + t^{-12q+1}) / (1+t+t^2).$$

In particular,  $\deg \Delta^{(q)}(t) = 12|q| - 2$  ( $q \neq 0$ ), and therefore  $\Delta^{(q)}(t) \neq 1$ .

EXAMPLE 5. Consider the same setting as that of Example 3. Recall that the knot  $F_q(J)$  is equivalent to  $W_k(L_0(J))$  or  $W_k(L_1(J))$  according to whether  $q = 2k$  or  $q = 2k + 1$ , where  $L_0(J) = O \cup \gamma$  and  $L_1(J) = F_1(J) \cup \gamma$ . Here  $lk(O, \gamma) = lk(F_1(J), \gamma) = 0$ . Hence, by Proposition 3, the Alexander polynomial  $\Delta^{(q)}(t)$  of the knot  $F_q(J)$  is given as follows.

$$\Delta^{(q)}(t) = \begin{cases} 1 + kA_0(t) & (q = 2k) \\ \Delta^{(1)}(t) + kA_1(t) & (q = 2k + 1), \end{cases}$$

where  $A_0(t)$  and  $A_1(t)$  are the  $A$ -polynomials of the links  $L_0(J)$  and  $L_1(J)$  respectively. By direct calculation, we have

$$A_0(t) = [-2, 1, 1, -1]$$

$$\Delta^{(1)}(t) = [1, 0, -1, 1, 0, -1, 1]$$

$$A_1(t) = [0, 1, -2, 1, 1, -2, 1,$$

where  $[a_0, a_1, \dots, a_n]$  means  $a_0 + \sum_{i=1}^n a_i(t^i + t^{-i})$ . In particular,  $\deg \Delta^{(q)}(t)$  ( $q \neq 0$ ) is equal to 6, 10 or 12, according to whether  $q$  is even,  $-1$  or one of the rest, and therefore  $\Delta^{(q)}(t) \neq 1$ .

REMARK. Since the figure-eight knot is amphicheiral, we have  $M_q \cong M_{-q}$ , where  $M_q = M$  (figure-eight,  $1/q$ ). So the knots  $W_{\pm 2q}(L)$  in Example 2 and the knots  $F_{\pm q}(J)$  in Example 3 have the same homology 3-sphere  $M_q$  as 2-fold branched coverings. On the other hand, by Examples 4 and 5, we have

- (1)  $W_{2q}(L) \cong W_{-2q}(L)$  for any  $q$ ,
- (2)  $F_q(J) \not\cong F_{-q}(J)$  for any  $q$ ,
- (3)  $F_q(J) \not\cong W_{\pm 2q}(L) \not\cong F_{-q}(J)$ , if  $|q| > 1$ ,
- (4)  $F_1(J) \not\cong W_{\pm 2}(L) \cong F_{-1}(J)$ .

Hence, if  $|q| > 1$  (resp.  $|q| = 1$ ), there are three (resp. two) inequivalent knots in  $S^3$  whose 2-fold branched coverings are homeomorphic to the same homology 3-sphere  $M_q$ . Takahashi [22] constructed such knots from a different point of view. In fact, it can be seen that they are  $F_q(J)$  and  $F_{-q}(J)$ .



At the end of this section, we explain a convenient method for calculating Alexander polynomials of 2-component links given by Cooper [2], which we use in Sections 4 and 5.

Let  $D$  and  $D'$  be P. L. embedded bicollared disks in  $S^3$ , such that  $D \cap D'$  has only clasp singularities. Let  $\{\gamma_1, \dots, \gamma_h\}$  be a basis of the free abelian group  $H_1(D \cup D')$ . We define two matrices  $A$  and  $B$  as follows. Let  $u_i$  be a 1-cycle representing  $\gamma_i$ , such that  $u_i \cap (D \cap D')$  has a neighbourhood in  $S^3$  of the form as shown in Fig. 10.

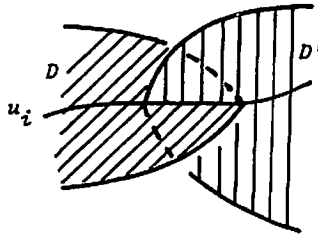


Fig. 10

Then, define  $A = (lk(u_i^-, u_j))$  and  $B = (lk(u_i^+, u_j))$ , where  $u_i^-$  (resp.  $u_i^+$ ) is the 1-cycle in  $S^3$  obtained by lifting  $u_i$  off  $D \cup D'$  in the negative normal direction off  $D$  and in the negative (resp. positive) normal direction off  $D'$ . The following is a special case of Theorem 2.1 of Cooper [2].

**PROPOSITION 5.** *The Alexander polynomial of the link  $L = \partial D \cup \partial D'$  is  $\det(xyA + A^T - xB - yB^T)$ .*

**4. A class of knots containing 3-strand pretzel knots of odd type**

For a 3-tuple of integers  $(r_1, r_2, r_3)$ , let us consider an oriented link  $L(r_1, r_2, r_3) = K_1 \cup K_2$  as illustrated in Fig. 11.

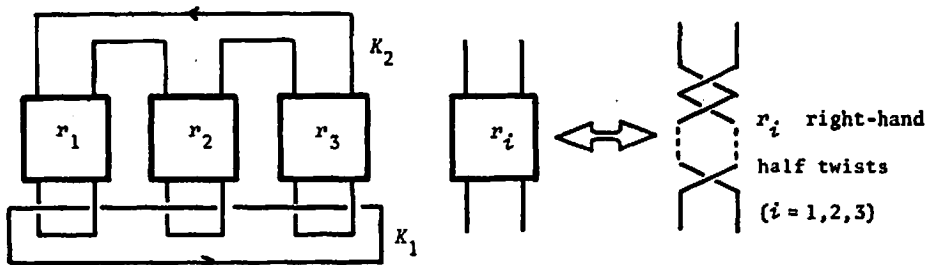


Fig. 11

Here, we assume that  $(r_i, r_j) \neq (0, -1)$  for any  $i, j$  ( $1 \leq i, j \leq 3$ ). (If  $(r_i, r_j) = (0, -1)$  for some  $i, j$ , then  $L(r_1, r_2, r_3)$  is the Hopf link.) For a positive integer  $n$  ( $n \geq 2$ ) relatively prime to the linking number  $\lambda = lk(K_1, K_2)$ , let  $K_n(r_1, r_2, r_3)$  be the periodic knot  $C_n(L(r_1, r_2, r_3))$  generated by the link  $L(r_1, r_2, r_3)$ . (Recall the definition of  $C_n(\cdot)$  given in Section 1.) In particular,  $K_2(r_1, r_2, r_3)$  is the pretzel knot of type  $(2r_1 + 1, 2r_2 + 1, 2r_3 + 1)$ .

**THEOREM 1.**  $K_n(r_1, r_2, r_3)$  has property P.

**PROOF.** Since  $L(r_1, r_2, r_3)$  is equivalent to  $L(-r_1 - 1, -r_2 - 1, -r_3 - 1)$  and  $L(r_{\sigma(1)}, r_{\sigma(2)}, r_{\sigma(3)})$  for any permutation  $\sigma$  on  $\{1, 2, 3\}$ , we may assume that  $L(r_1, r_2, r_3)$  is of one of the following two types.

Type 1.  $L(2l_1, 2l_2, 2l_3)$

Type 2.  $L(2l_1, 2l_2, 2l_3 + 1)$  ( $(l_i, l_3) \neq (0, -1)$  for each  $i = 1, 2$ )

If  $L(r_1, r_2, r_3)$  is of Type 1 (resp. Type 2), then the linking number  $\lambda$  is 3 (resp. 1). Let  $\Delta(x, y)$  be the Alexander polynomial of  $L(r_1, r_2, r_3)$ . Then, by Propositions 2 and 4, we have only to prove that  $\deg \Delta(t, t^{k\lambda}) > \lambda - 1$  for each integer  $k$  ( $|k| \geq 2$ ).

To calculate  $\Delta(x, y)$ , let us consider bicollared disks  $D$  and  $D'$  in  $S^3$  with  $\partial D = K_1$  and  $\partial D' = K_2$  as illustrated in Fig. 12. Choose 1-cycles  $u_1$  and  $u_2$ , which form a basis of  $H_1(D \cup D')$ , as illustrated in Fig. 12.

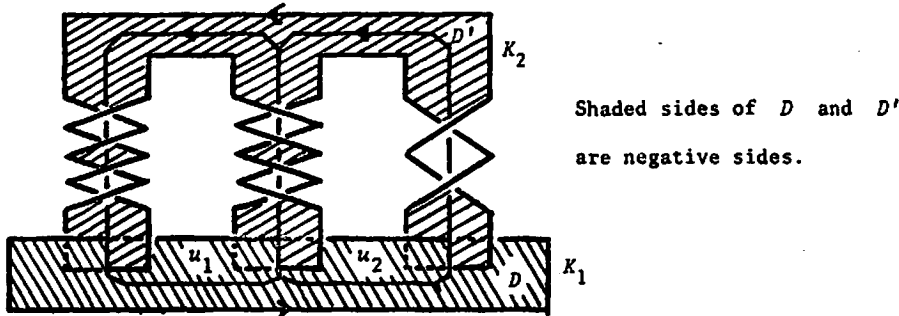


Fig. 12

Then, by Proposition 5,  $\Delta(x, y) = \det(xyA + A^T - xB - yB^T)$ , where the matrices  $A$  and  $B$  are given as follows;

$$\begin{aligned} \text{Type 1; } A &= \begin{pmatrix} l_1 + l_2 & -l_2 \\ -l_2 & l_2 + l_3 \end{pmatrix}, & B &= \begin{pmatrix} l_1 + l_2 & -l_2 - 1 \\ -l_2 & l_2 + l_3 + 1 \end{pmatrix}, \\ \text{Type 2; } A &= \begin{pmatrix} l_1 + l_2 & -l_2 \\ -l_2 & l_2 + l_3 + 1 \end{pmatrix}, & B &= \begin{pmatrix} l_1 + l_2 + 1 & -l_2 \\ -l_2 - 1 & l_2 + l_3 + 1 \end{pmatrix}. \end{aligned}$$

Hence, we have  $\Delta(x, y) = \sum_{1 \leq i, j \leq 3} w_{ij} x^{i-1} y^{j-1}$ , where the coefficient matrix  $(w_{ij})_{1 \leq i, j \leq 3}$  is given as follows.

$$\text{Type 1; } \begin{matrix} & 1 & x & x^2 \\ y & \left\{ \begin{array}{ccc} \beta & -\alpha-2\beta & \alpha+\beta+1 \\ -\alpha-2\beta & 2\alpha+4\beta+1 & -\alpha-2\beta \\ \alpha+\beta+1 & -\alpha-2\beta & \beta \end{array} \right\} \\ y^2 & \end{matrix}$$

$$\text{Type 2; } \begin{matrix} & 1 & x & x^2 \\ y & \left\{ \begin{array}{ccc} \beta+l_1+l_2 & -\alpha-2\beta-1-l_1-l_2 & \alpha+\beta+1 \\ -\alpha-2\beta-1-l_1-l_2 & 2\alpha+4\beta+1+2(l_1+l_2) & -\alpha-2\beta-1-l_1-l_2 \\ \alpha+\beta+1 & -\alpha-2\beta-1-l_1-l_2 & \beta+l_1+l_2 \end{array} \right\} \\ y^2 & \end{matrix}$$

where  $\alpha = l_1 + l_2 + l_3$ ,  $\beta = l_1 l_2 + l_2 l_3 + l_3 l_1$ .

Now, we prove that  $\deg \Delta(t, t^{k\lambda}) > \lambda - 1$  for any integer  $k$  ( $|k| \geq 2$ ).

Type 1: It is clear that  $(\alpha + \beta + 1, -\alpha - 2\beta, \beta) \neq (0, 0, 0)$ . Hence, we have

$$\begin{aligned} \deg \Delta(t, t^{k\lambda}) &= \deg \Delta(t, t^{3k}) \\ &\geq \begin{cases} 6k - 2 & (k \geq 2) \\ 0 - (6k + 2) & (k \leq -2) \end{cases} \\ &> 2 = \lambda - 1 \end{aligned}$$

Type 2: It can be seen that  $(\alpha + \beta + 1, -\alpha - 2\beta - 1 - l_1 - l_2) = (0, 0)$  (resp.  $(-\alpha - 2\beta - 1 - l_1 - l_2, \beta + l_1 + l_2) = (0, 0)$ ), iff  $l_3 = -1$  and  $l_1 l_2 = 0$ . But this does not occur by the assumption. Hence, for any  $k$  ( $|k| \geq 2$ ), we have

$$\deg \Delta(t, t^{k\lambda}) = \deg \Delta(t, t^k) \geq |(2k + 1) - 1| > 0 = \lambda - 1.$$

This completes the proof.

### 5. A class of knots containing 2-bridge knots

Let  $L(2p, q) = K_1 \cup K_2$  be an oriented 2-bridge link of type  $(2p, q)$ , where  $1 \leq q < 2p$  and  $\text{g.c.d.}(2p, q) = 1$  (see Fig. 13). Here, we assume that  $p \neq 1$ . ( $L(2, 1)$  is the Hopf link.) For a positive integer  $n$  ( $n \geq 2$ ) relatively prime to the linking number  $\lambda = lk(K_1, K_2)$ , let  $K_n(p, q)$  be the periodic knot  $C_n(L(2p, q))$  generated by the link  $L(2p, q)$ . In particular,  $K_2(p, q)$  is a 2-bridge knot of type  $(p, q)$ .

**THEOREM 2.**  $K_n(p, q)$  has property P.

PROOF.  $\frac{2p}{q}$  has the following continued fraction;

$$\frac{2p}{q} = 2b_1 + \frac{1}{-2b_2 + \frac{1}{2b_3 + \dots + \frac{1}{-2b_{m-1} + \frac{1}{2b_m}}}}$$

where  $b_i$  is a non-zero integer for each  $i$  ( $1 \leq i \leq m$ ), and  $m$  is an odd integer. Then  $L(2p, q)$  is equivalent to the link as illustrated in Fig. 13.

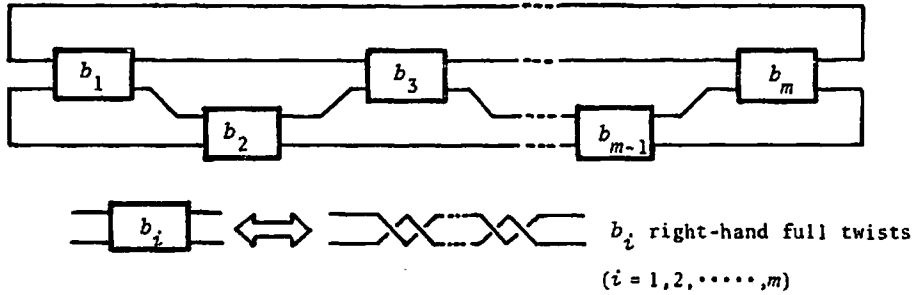
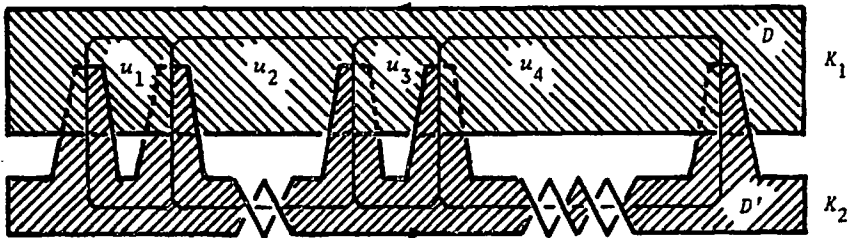


Fig. 13

We may assume that the linking number  $\lambda$  is positive. Let  $\Delta(x, y)$  be the Alexander polynomial of  $L(2p, q)$ . Then, by Propositions 2 and 4, we have only to prove that  $\deg \Delta(t, t^{k\lambda}) > \lambda - 1$  for each  $k$  ( $|k| \geq 2$ ). To calculate the polynomial, let us consider bicollared disks  $D$  and  $D'$  with  $\partial D = K_1$  and  $\partial D' = K_2$  as illustrated in Fig. 14.  $D \cap D'$  consists of  $|b_1| + |b_3| + \dots + |b_m|$  clasp singularities. Choose 1-cycles  $u_1, u_2, \dots, u_s$  which form a basis of  $H_1(D \cup D')$  as illustrated in Fig. 14, where  $s = |b_1| + |b_3| + \dots + |b_m| - 1$ . Note that  $s \geq 1$ , since  $p \neq 1$ .

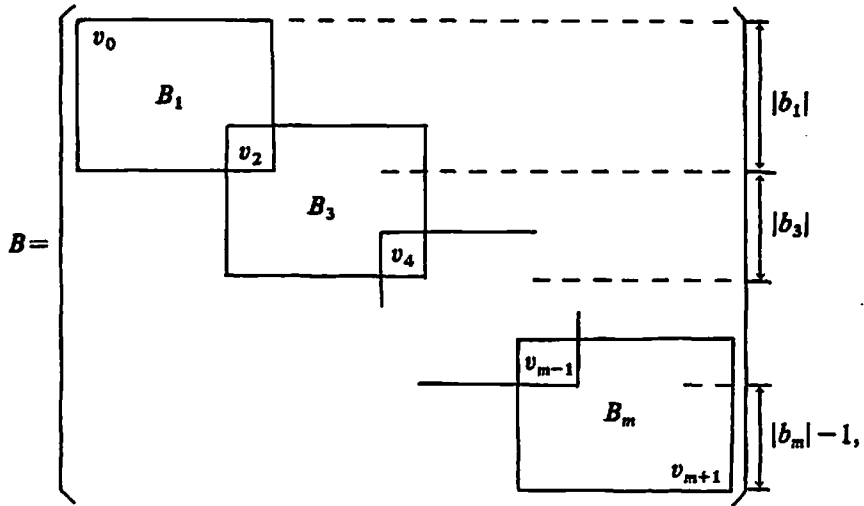
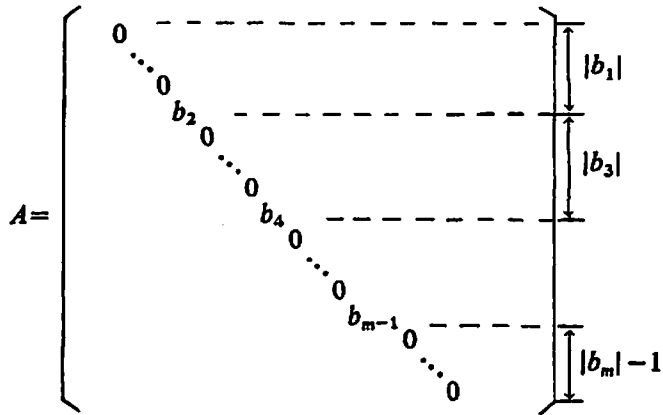


Shaded sides of  $D$  and  $D'$  are negative sides.

Fig. 14

Let  $V = t^{k\lambda+1}A + A^T - tB - t^{k\lambda}B^T$ , where  $A$  and  $B$  are matrices defined in Section 3. Then, by Proposition 5,  $\Delta(t, t^{k\lambda}) = \det V$ .

The matrices  $A$  and  $B$  are given as follows;



where  $B_i$  and  $v_j$  are given as follows ( $i=1, 3, 5, \dots, m, j=0, 2, 4, \dots, m+1$ ).

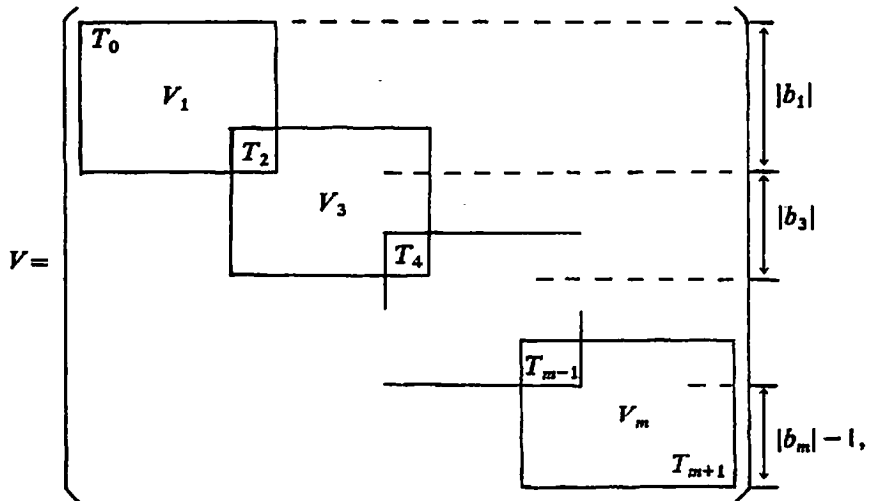
$$B_i = \begin{cases} \begin{pmatrix} v_{i-1} & 1 & & & \\ & -1 & 1 & & \\ & & -1 & 1 & \\ & & & \ddots & \ddots \\ & & & & -1 & 1 \\ & & & & & & v_{i+1} \end{pmatrix} & (b_i > 0) \\ \begin{pmatrix} v_{i-1} & & & & \\ & -1 & 1 & & \\ & & -1 & 1 & \\ & & & \ddots & \ddots \\ & & & & -1 & 1 \\ & & & & & & -1 & v_{i+1} \end{pmatrix} & (b_i < 0) \end{cases}$$

$$v_0 = \begin{cases} -1 & (b_1 > 0) \\ 1 & (b_1 < 0) \end{cases}$$

$$v_j = b_j + \varepsilon_j/2 \quad \text{with} \quad \varepsilon_j = -\{b_{j-1}/|b_{j-1}| + b_{j+1}/|b_{j+1}|\} \quad (j=2, 4, \dots, m-1)$$

$$v_{m+1} = \begin{cases} -1 & (b_m > 0) \\ 1 & (b_m < 0) \end{cases}$$

Therefore the matrix  $V = t^{k\lambda+1}A + A^T - tB - t^{k\lambda}B^T$  is of the following form.



where  $V_i$  and  $T_j$  are given as follows ( $i=1, 3, 5, \dots, m, j=0, 2, 4, \dots, m+1$ ).

$$V_i = \begin{cases} \begin{pmatrix} T_{i-1} & -t & & & \\ -t^{k\lambda} & t^{k\lambda} + t & -t & & \\ & \ddots & \ddots & \ddots & \\ & & -t^{k\lambda} & t^{k\lambda} + t & -t \\ & & & -t^{k\lambda} & T_{i+1} \end{pmatrix} & (b_i > 0) \\ \begin{pmatrix} T_{i-1} & t^{k\lambda} & & & \\ t & -t^{k\lambda} - t & t^{k\lambda} & & \\ & \ddots & \ddots & \ddots & \\ & & t & -t^{k\lambda} - t & t^{k\lambda} \\ & & & t & T_{i+1} \end{pmatrix} & (b_i < 0) \end{cases}$$

$$T_0 = \begin{cases} t^{k\lambda} + t & (b_1 > 0) \\ -t^{k\lambda} - t & (b_1 < 0) \end{cases}$$

$$T_j = b_j(t^{k\lambda+1} + 1) - (b_j + \epsilon_j/2)(t^{k\lambda} + t) \quad (j=2, 4, \dots, m-1)$$

$$T_{m+1} = \begin{cases} t^{k\lambda} + t & (b_m > 0) \\ -t^{k\lambda} - t & (b_m < 0) \end{cases}$$

Let  $W_i$  ( $1 \leq i \leq s$ ) be the submatrix of  $V$  consisting of  $(v, \mu)$  entries of  $V$  with  $v, \mu > s-i$ . Define  $d_i(t) = \det W_i$  ( $1 \leq i \leq s$ ), and  $d_0(t) = 1$ . Especially,  $d_s(t) = \det V = \Delta(t, t^{k\lambda})$ .

LEMMA 1. For each integer  $i$  ( $1 \leq i \leq s-1$ ), the following equation holds.

$$d_{i+1}(t) = F_{s-i}(t)d_i(t) - t^{k\lambda+1}d_{i-1}(t).$$

Here,  $F_j(t)$  is the  $(j, j)$  entry of  $V$ .

PROOF. By expanding the first column of  $W_i$ , we obtain the equation immediately.

For a Laurent polynomial  $f(t)$ , let  $Max(f(t))$  (resp.  $Min(f(t))$ ) be the maximal (resp. minimal)  $t$ -power of any term of  $f(t)$ .

LEMMA 2. For each integer  $i$  ( $1 \leq i \leq s$ ), we have the followings.

- (1) If  $k \geq 2$ ,  $Max(d_i(t)) \geq Max(d_{i-1}(t)) + k\lambda$  ..... $(\alpha_i)$ ,  
 $Min(d_i(t)) \leq Min(d_{i-1}(t)) + 1$  ..... $(\beta_i)$ .
- (2) If  $k \leq -2$ ,  $Max(d_i(t)) \geq Max(d_{i-1}(t))$  ..... $(\gamma_i)$ ,  
 $Min(d_i(t)) \leq Min(d_{i-1}(t)) + k\lambda + 1$  ..... $(\delta_i)$ .

PROOF. (1)  $k \geq 2$ : Note that  $\text{Max}(F_i(t)) \geq k\lambda$  and  $\text{Min}(F_i(t)) \leq 1$ , for each  $i$  ( $1 \leq i \leq s$ ). We prove the inequality  $(\alpha_i)$  inductively. Since  $\text{Max}(d_1(t)) = \text{Max}(F_s(t)) \geq k\lambda$ ,  $(\alpha_1)$  holds. Suppose that  $(\alpha_j)$  holds for some  $j$  ( $1 \leq j \leq s-1$ ).

$$\begin{aligned} \text{Then} \quad \text{Max}(F_{s-j}(t)d_j(t)) &= \text{Max}(F_{s-j}(t)) + \text{Max}(d_j(t)) \\ &\geq k\lambda + (\text{Max}(d_{j-1}(t)) + k\lambda) \\ &> (k\lambda + 1) + \text{Max}(d_{j-1}(t)) \\ &= \text{Max}(t^{k\lambda+1}d_{j-1}(t)). \end{aligned}$$

Hence, by Lemma 1,  $\text{Max}(d_{j+1}(t)) = \text{Max}(F_{s-j}(t)d_j(t)) \geq \text{Max}(d_j(t)) + k\lambda$ , and  $(\alpha_{j+1})$  holds. Therefore  $(\alpha_i)$  holds for any  $i$  ( $1 \leq i \leq s$ ). Next, we prove  $(\beta_i)$  inductively. Since  $\text{Min}(d_1(t)) = \text{Min}(F_s(t)) \leq 1$ ,  $(\beta_1)$  holds. Suppose that  $(\beta_j)$  holds for some  $j$  ( $1 \leq j \leq s-1$ ). Then

$$\begin{aligned} \text{Min}(F_{s-j}(t)d_j(t)) &= \text{Min}(F_{s-j}(t)) + \text{Min}(d_j(t)) \\ &\leq 1 + (\text{Min}(d_{j-1}(t)) + 1) \\ &< (k\lambda + 1) + \text{Min}(d_{j-1}(t)) \\ &\leq \text{Min}(t^{k\lambda+1}d_{j-1}(t)). \end{aligned}$$

Hence, by Lemma 1,  $\text{Min}(d_{j+1}(t)) = \text{Min}(F_{s-j}(t)d_j(t)) \leq \text{Min}(d_j(t)) + 1$ , and  $(\beta_{j+1})$  holds. Therefore  $(\beta_i)$  holds for any  $i$  ( $1 \leq i \leq s$ ).

(2)  $k \leq -2$ : Note that  $\text{Max}(F_i(t)) \geq 0$  and  $\text{Min}(F_i(t)) \leq k\lambda + 1$ , for each  $i$  ( $1 \leq i \leq s$ ). Then, by a similar argument as the above, we can prove the inequalities  $(\gamma_i)$  and  $(\delta_i)$  ( $1 \leq i \leq s$ ).

From the above lemma, we have  $\text{deg}(d_i(t)) > \text{deg}(d_{i-1}(t))$ , for any  $i$  ( $2 \leq i \leq s$ ), and  $\text{deg}(d_1(t)) > \lambda - 1$ . Therefore,

$$\text{deg} \Delta(t, t^{k\lambda}) = \text{deg}(d_s(t)) > \text{deg}(d_{s-1}(t)) > \dots > \text{deg}(d_1(t)) > \lambda - 1.$$

This completes the proof of Theorem 2.

## 6. Even pretzel knots

Let  $K(p, q, 2r)$  be an even prezel knot. ( $p$  and  $q$  are odd integers.) Riley [17] proved that, if  $p+q \neq 0$ , then  $K(p, q, 2r)$  has Property PP. So, we consider  $K(p, -p, 2r)$ . Note that  $K(p, -p, 2r)$  is nontrivial, iff  $|p| \neq 1$ .

**THEOREM 3.**  $K(p, -p, 2r)$  ( $p$ : odd,  $|p| \neq 1$ ) has Property  $P_{2k+1}$  for any integer  $k$ .

PROOF. Since  $K(p, -p, 2r) = K(-p, p, -2r)$ , we may assume that  $p = 4p' + 1$ . Let  $O$  and  $J$  be a trivial knot and an arc in  $S^3$  as illustrated in Fig. 15. Then  $K(p, -p, 2r) = I(J)$ .



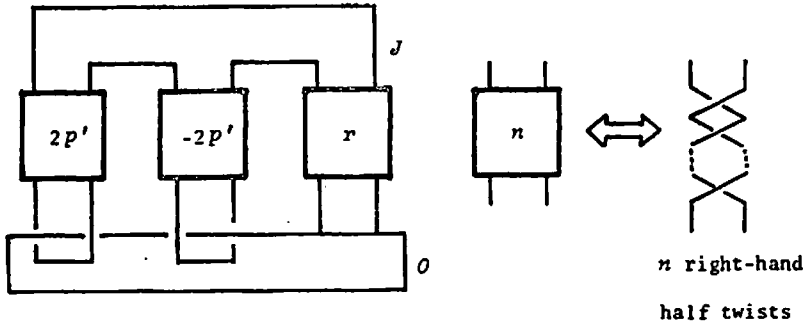


Fig. 15

By Proposition 3, we have only to prove that the knot  $F_{2k+1}(J)$  is nontrivial. Recall that  $F_{2k+1}(J) = W_k(L_1(J))$ . So, by Proposition 4, the Alexander polynomial  $\Delta^{(2k+1)}(t)$  of  $F_{2k+1}(J)$  is given by

$$\Delta^{(2k+1)}(t) = \Delta^{(1)}(t) + kA_1(t),$$

where  $A_1(t)$  is the  $A\tau$ -polynomial of the link  $L_1(J)$ . By direct calculation, we have

$$\Delta^{(1)}(t) = \begin{cases} [4p'^2 + 2p' + 1, -p'^2 - p', -2p'^2 - p', p'^2 + p'] & (r: \text{odd}) \\ [4p'^2 + 2p' + 1, -p'^2, -2p'^2 - p', p'^2] & (r: \text{even}), \end{cases}$$

and  $A_1(t) = 0$ .

Since  $|p| = |4p' + 1| \neq 1$  by the assumption, we have  $p' \neq 0$ . Therefore  $\Delta^{(2k+1)}(t) \neq 1$ . This completes the proof.

**REMARK.** Since the link  $L_0(J)$  is slice in the strong sense, the  $A\tau$ -polynomial of  $L_0(J)$  is zero (see [9]). So, the Alexander polynomial of the knot  $F_{2k}(J)$  is 1.

### 7. Knots with 9 crossings or less

Riley [17] proved that all knots with 9 crossings or less have Property PP except  $8_{10}$ ,  $8_{17}$ , and  $9_n$  for  $n = 24, 29, 32, 33, 34, 38, 39, 41, 46, 47$ , and  $49$ . In this section, we apply our method to them, and prove that all of them except  $8_{17}$ ,  $9_{32}$ , and  $9_{33}$  have Property P.

First, we study  $8_{10}$  and  $9_{24}$  from a different point of view.  $8_{10}$  and  $9_{24}$  are "ribbon concordant" to  $3_1$  and  $4_1$  respectively, and therefore, there are epimorphisms from the knot groups of  $8_{10}$  and  $9_{24}$  to those of  $3_1$  and  $4_1$  respectively, which carry meridians to meridians and longitudes to longitudes. Therefore, it follows that  $8_{10}$  and  $9_{24}$  have Property P, since  $3_1$  and  $4_1$  do. (Recently, Osborn [16] proved that  $8_{10}$  has Property P by a different method.)

Next, we use the method of Section 1. Among the knots in consideration, only  $9_{41}$ ,  $9_{46}$ ,  $9_{47}$ , and  $9_{49}$  are periodic (see [15]).  $9_{46}$  is a pretzel knot of type  $(3, 3, -3)$ ; so, by Theorem 1,  $9_{46}$  has Property P.  $9_{41}$ ,  $9_{47}$ , and  $9_{49}$  belong to the class of knots considered in Section 5. In fact,  $9_{41} \cong K_3(9, 5)$ ,  $9_{47} \cong K_3(8, 3)$ , and  $9_{49} \cong K_3(7, 3)$  (see [4]). Thus, by Theorem 2, they have Property P.

For the remaining knots  $9_{29}$ ,  $9_{34}$ ,  $9_{38}$ , and  $9_{39}$ , which are strongly invertible, we use the method of Section 2. The following is a list of the corresponding  $\theta$ -curves  $O \cup J$ , the  $A\tau$ -polynomials  $A_0(t)$  and  $A_1(t)$  of the links  $L_0(J)$  and  $L_1(J)$ , and the Alexander polynomials  $\Delta^{(1)}(t)$  of the knots  $F_1(J)$  (cf. Example 5).

$9_{29}$		$A_0(t) = [2, -1, -1, 1]$ $\Delta^{(1)}(t) = [3, 0, -3, 0, 2, 0, -1,$ $A_1(t) = \pm [6, -2, -4, 3, 2, -2, -1, 1]$
$9_{34}$		$A_0(t) = [0, 1, -1, -1, 1]$ $\Delta^{(1)}(t) = [1, 0, 1, -1, -2, 1, 1]$ $A_1(t) = \pm [0, -1, 2, 1, -3, 0, 1]$
$9_{38}$		$A_0(t) = [4, 0, -2]$ $\Delta^{(1)}(t) = [-1, 1, -1, 1, -1, 1, 0, -3, 2, 2, -1, -2, 1]$ $A_1(t) = \pm [-2, 2, -2, 2, -2, 1, 3, -5, 0, 3, 1, -3, 1]$
$9_{39}$		$A_0(t) = [-4, 0, 2]$ $\Delta^{(1)}(t) = [1, -1, 1, -1, 0, 1, -2, 1, 1, 0, -1]$ $A_1(t) = \pm [2, -2, 2, -2, 1, 1, -3, 3, 0, -1, -1, 1]$

From the above list and Propositions 3 and 4, it follows that  $9_{29}$ ,  $9_{34}$ ,  $9_{38}$ , and  $9_{39}$  have Property P.

### 8. Final Remark

Litherland [10, 11] proved that, for a 2-component link  $L = O \cup K$  in  $S^3$  with  $O$  a trivial knot, if one of the following conditions holds, then the exterior of the knot  $W_k(L)$  in  $M(K, 1/k)$  is not a homotopy solid torus.

- (1)  $|lk(O, K)| \geq 3$  and  $k \neq 0$ .
- (2)  $|lk(O, K)| = 2$  and  $|k| \geq 2$ .
- (3)  $|lk(O, K)| = 1$ ,  $wr(O, K) \geq 2$ , and  $|k| \geq 6$ . (Here  $wr(O, K)$  is the minimum number of intersections of  $K$  with a disk bounded by  $O$ .)

Hence, the following holds by Proposition 2.

**THEOREM 4.**  $C_n(L)$  has Property P, if one of the following conditions holds.

- (1)  $|lk(O, K)| \geq 2$ .
- (2)  $|lk(O, K)| = 1$ ,  $n \geq 6$ , and  $L$  is not a Hopf link.

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